Calibration Complexity and Climate Impacts: The Role of Plants and ET

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Motivation and Background



Evapotranspiration (ET) is essential water balance process in the tropics: **ET/P ≈ 70 - 80%**





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ET is dynamic in space and time Depends on energy (T, rad, wind, rh) Estimated with LAI in SWAT

The drivers for ET are changing Higher future radiation & temperature



| Drivers of ET | | | | | |
|---------------|--|-------|------------|--------------|--|
| River | CC Data | ΔΡ | ΔQ | ΔAET | |
| Niger bas | sin | | | | |
| Koulikoro | AMMA-ENSEMBLES (A1B; near, mid, far future) | $+^1$ | + | none | |
| Niger | CMIP5 (RCP2.5, 8.5; end of 21 st century) | o^2 | + | none | |
| Kaduna | CORDEX-Africa (RCP8.5; complete 21 st century) | o | + | _* | |
| Niger | CMIP5 (RCP2.6, 6.0, 8.5; far future) | o | - | - | |
| Togo | | | | | |

| inger | (RCP2.6, 6.0, 8.5; far future) | 0 | - | - | Chawalida et al. (2024) |
|----------------|---|-------|---|------|-----------------------------|
| Togo | | | | | |
| Mono | CORDEX (RCP4.5, 8.5; 2021–2070) | $+^1$ | + | none | Houngue et al. (2023) |
| Ouémé ba | Ouémé basin | | | | |
| Djougou | REMO (A1B, B1; near future) | - | - | _* | Bossa et al. (2012) |
| Ouémé | REMO (A1B, B1; near future) | - | - | _* | Bossa et al. (2014) |
| Upper Ouémé | REMO (A1B, B1; mid future) | - | - | +* | Danvi et al. (2018) |
| Ghana | | | | | |
| Volta | ECHAM4 (A1B; mid/far future) | - | - | _* | Sood et al. (2013) |
| White Volta | Ensemble from ECHAM4 & CSIRO (A1FI; near/mid future) | - | - | none | Kankam-Yeboah et al. (2013) |
| Pra | Ensemble from ECHAM4 & CSIRO (A1FI; near/mid future) | - | - | none | Kankam-Yeboah et al. (2013) |
| Owabi | CCCMA (RCP2.6, 4.5, 8.5; near future) | - | - | none | Osei et al. (2019) |
| Pra | CORDEX-Africa (RCP8.5; near, mid, far future) | o | o | none | Awotwi et al. (2021) |
| Vea | CORDEX-Africa (RCP4.5; near to mid future) | + | - | +* | Larbi et al. (2021) |
| Burkina I | Faso | | | | |

Burkina Faso Tougou CMIP6 (SSP2-4.5, 8.5: near to mid future)

one Yonaba et al. (2023)

Study

Angelina et al. (2015)

Eisner et al. (2017)

Krysanova et al. (2017) &

Animashaun et al. (2023)

Chawanda et al. (2024)

ET ET ET

Merk et al., in preparation

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Lack of ET for climate change in West Africa8 of 15 SWAT studies: no ET at all6 of 15 studies: ET without calibration1 of 15 studies: ET changes with calibration



What is the role of AET and LAI in climate impact assessment in West Africa?

How much does the future change of AET and Q depend on the calibration approach?

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SWAT-T catchment scale

SWAT-T by Alemayehu et al., 2017



Global SA with the Morris method (LAI)

27 parameters of SWAT-T



Climate impacts from 3 calibration approaches



Singh (2014)

Optimization with 3 strategies, **ROPE** algorithm

Bardossy & Singh, 2008

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SWAT-T catchment scale

SWAT-T by Alemayehu et al., 2017



Climate impacts from 3 calibration approaches

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Bétérou Catchment



Motivation | Methodology | Results | Conclusion

Bétérou Catchment



We use the SWAT-T by Alemayehu et al., 2017: → Improved modelling of LAI in the tropics



Variables used for model evaluation:

| Variable | Time | Source | Catchment |
|----------|---------|--------------------------------|--------------|
| Q | Daily | Monitoring network | Outlet |
| LAI | 8-daily | LAI-GLASS (Liang et al., 2014) | Global cover |
| AET | 8-daily | FLUXCOM (Jung et al., 2019) | Global cover |

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We use **3 calibration approaches** with different levels of complexity to evaluate the role of LAI and AET:

| Label | Target | Objective function |
|-------|-------------|--|
| Q | Q | KGE _{eff} = KGE _Q |
| QL | Q, LAI | KGE _{eff} = ½ KGE _Q + ½ KGE _{LAI} |
| QLA | Q, LAI, AET | $KGE_{eff} = 1/3 KGE_{Q} + 1/3 KGE_{LAI} + 1/3 KGE_{AET}$ |

2 forcing data sets:

- W5E5 → baseline of ISIMIP future data
- Observed data → cross validation and ROPE potential



Global SA with the Morris method (LAI)

27 parameters of SWAT-T

5 Robust Estimation of Hydrological Model Parameters



Optimization with 3 strategies, ROPE algorithm

Bardossy & Singh, 2008

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ROPE = robust parameter estimation

Key features of ROPE algorithm:

- Iterative optimization based on objective function, here: KGE
- Robustness: it uses a depth function to select good parameters for the next loop

Advantage: multiple sets of parameters that are near-optimal (equifinality)

Limit: multiple sets of parameters that are near-optimal → no the global optimum

Outcome: 20 sets of equally good parameters



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Future climate forcing data from ISIMIP3:

- 5 GCMs
- 3 SSP scenarios
- Evaluation: near and far future



2100

2080

32

202



Optimization with 3 approaches

| Approaches: | Forcing data: | ROPE: |
|--------------------|---------------|--------------|
| Q only | W5E5 | 20 sets |
| Q + LAI | Observed | |
| Q + LAI + AET | | |



Discharge: W5E5 as good as seasonality

Motivation | Methodology | Results | Conclusion



Motivation | Methodology | **Results** | Conclusion

Approaches:

Forcing data:

W5E5

ROPE:

20 sets

Climate impact assessment

| Approaches: | Forcing data: | ROPE: |
|--------------------|---------------|--------------|
| Q only | W5E5 | 20 sets |
| Q + LAI | Observed) | |
| Q + LAI + AET | ISIMIP3 | |

What are the impacts of calibration approaches on the AET prediction?



Annual AET from 20 sets, far future (2070-2100)

N = 20 in each boxchart

- "Q only" underestimates annual AET
- "Q+LAI" predicts annual AET like "Q+LAI +AET"
- AET change prediction: Q only = 4.03 % Q+L+A = 11.7 %



- High variability for GCM application e.g., *MPI predicts increase in P, minor increase in T
- Discharge less sensitive to calibration approach
- Multi-model mean: decrease in discharge, increase in AET





Catchments are complex systems of fluxes dependent on land cover, soil, and topography.

In West Africa, AET is a key process, but still often neglected in climate impact assessment.

ISIMIP/W5E5 applicable for West Africa, but limitations for current state

Calibration approach matters: Predictions with AET vs. no AET: Differences of 7 % (far) to 9 % (near)

Less sensitive for discharge prediction

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Thank you for your attention!

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