



OPTimal strategies to retAIN and re-use water and nutrients in small agricultural catchments across different soil-climatic regions in Europe

# Application of a new, scripted SWAT+ modelling workflow in 14 small agricultural catchments in Europe: from input data to scenario output

Mikołaj Piniewski, Michael Strauch, Svajunas Plunge, Christoph Schürz, Natalja Čerkasova, Enrico Chiaradia, Felix Witing



This project has received funding from the European Union's Horizon 2020 research and innovation program under grant agreement No. 862756.



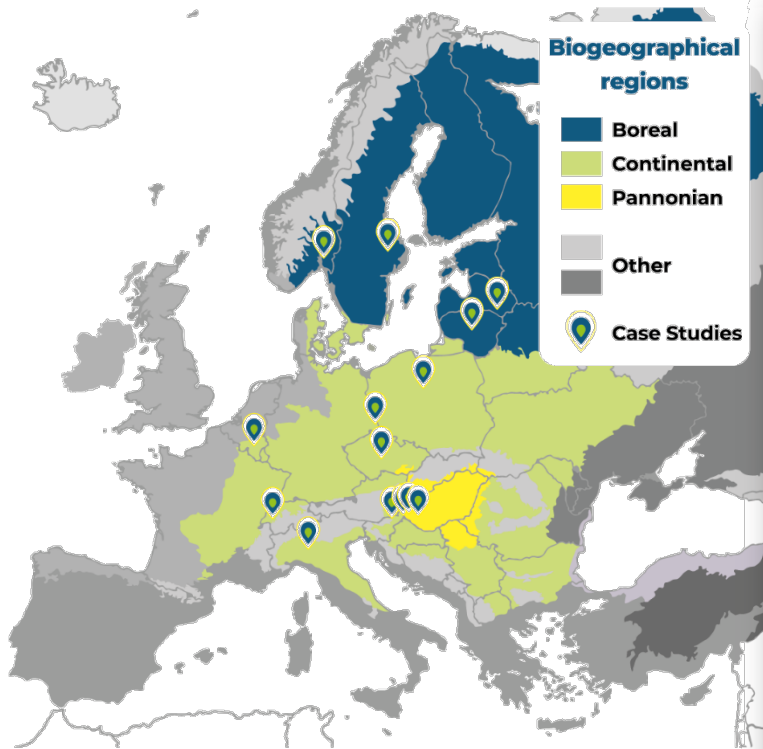
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# OPTAIN

## Optimal Strategies to Retain Water and Nutrients

### PROJECT INFO



**Biogeographical regions**

- Boreal
- Continental
- Pannonian
- Other
- Case Studies

- 21** partners from 15 countries across Europe
- 14** partners will contribute with their own case study
- 7** million Euro budget
- 5** years duration 2020-2025

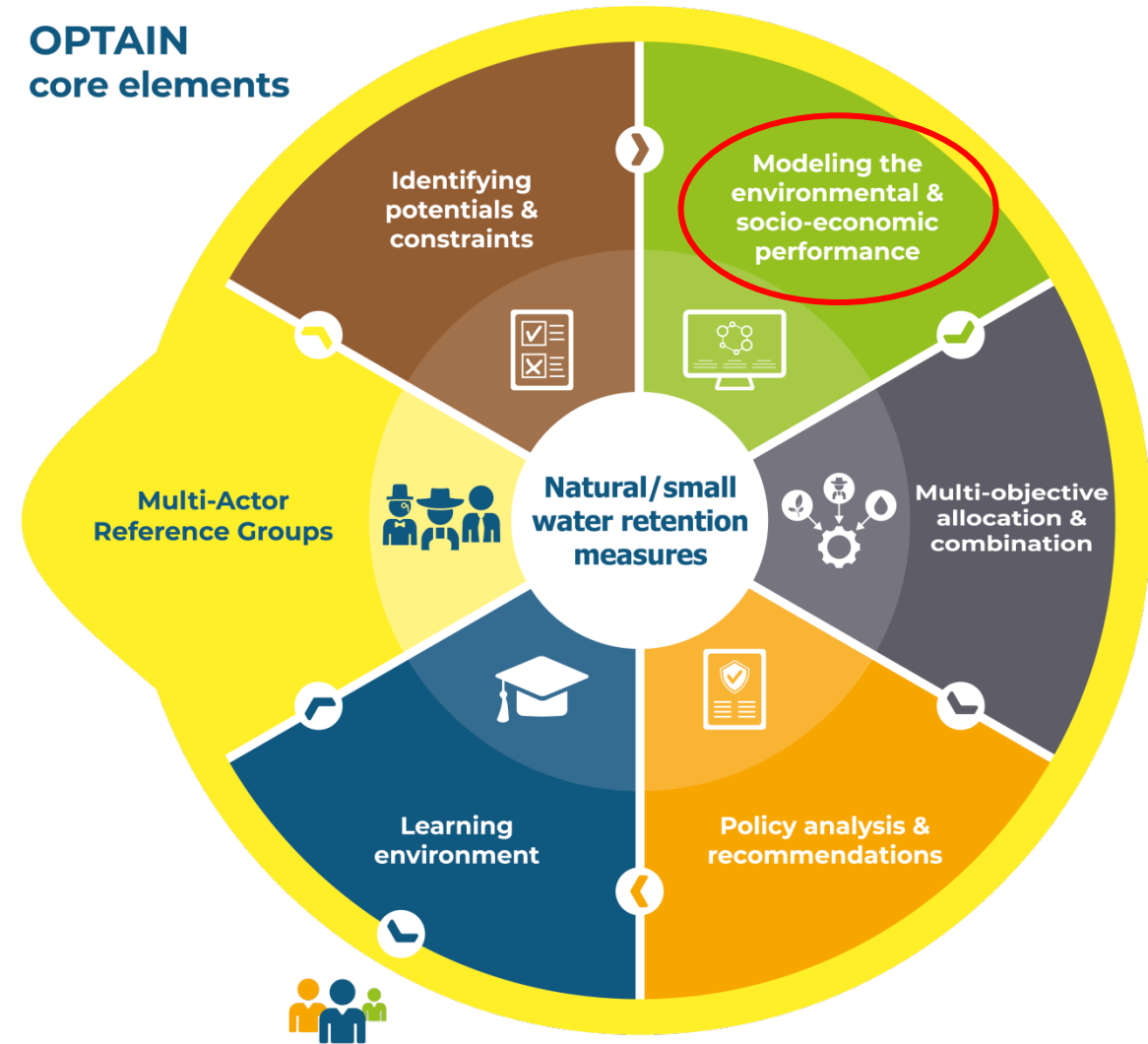


[WWW.OPTAIN.EU](http://WWW.OPTAIN.EU)

**Coordinator**  
Prof. Dr. Martin Volk  
Helmholtz Centre  
for Environmental  
Research – UFZ

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### OPTAIN core elements





# Outline

- A bird's eye view of the OPTAIN harmonisation and scripted workflows
- A few snapshots of the results of applying the developed modelling workflow in European catchments

# Harmonisation – OPTAIN’s holy grail

**Context:** 14 small (~50-200 km<sup>2</sup>) agricultural catchments in 12 European countries, project focusing on NSWRM

## Why?

Transparency & reproducibility

Facilitating cross-catchment comparisons

Serving as a benchmark for future SWAT+ applications

In OPTAIN harmonisation refers to:

- (1) Input data: Using either locally best available data sources or state-of-the-art European data
- (2) Methods/approaches: guidelines provided in the „modeling protocol”
- (3) Tools: SWAT R ecosystem

# Modelling protocol for the assessment of NSWORMs in small agricultural catchments

Protocol: state-of-the-art methodological guidance on best modelling practice

New tools supporting the modelling process

Introducing the COntiguous object COnnectivity Approach (COCOAA)

Covering: baseline model setup preparation, model parametrisation (incl. agricultural management), model evaluation, scenario setup (climate & NSWORM)

Designed as a guide to 14 OPTAIN case studies, but beneficial to the wider modelling community

Available at OPTAIN Zenodo repository  
[bit.ly/optain\\_zenodo](https://bit.ly/optain_zenodo) (approaching 1000 downloads 😊)

**SWAT+**  
SOIL & WATER ASSESSMENT TOOL



**OPTAIN**

SWAT+ modeling protocol for the assessment of water and nutrient retention measures in small agricultural catchments

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Christoph Schürz<sup>1</sup> Natalja Čerkasova<sup>2</sup> Csilla Farkas<sup>3</sup> Attila Nemes<sup>4</sup>  
Svajunas Plunge<sup>5</sup> Michael Strauch<sup>6</sup> Brigitta Szabó<sup>7</sup> Mikołaj Piniewski<sup>8</sup>

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<sup>1</sup>Helmholtz Centre for Environmental Research - UFZ, christoph.schuerz@ufz.de

<sup>2</sup>Klaipeda University, Marine Research Institute, natalja.cerkasova@ku.lt

<sup>3</sup>Norwegian Institute of Bioeconomy Research, csilla.farkas@nibio.no

<sup>4</sup>Norwegian Institute of Bioeconomy Research, attila.nemes@nibio.no

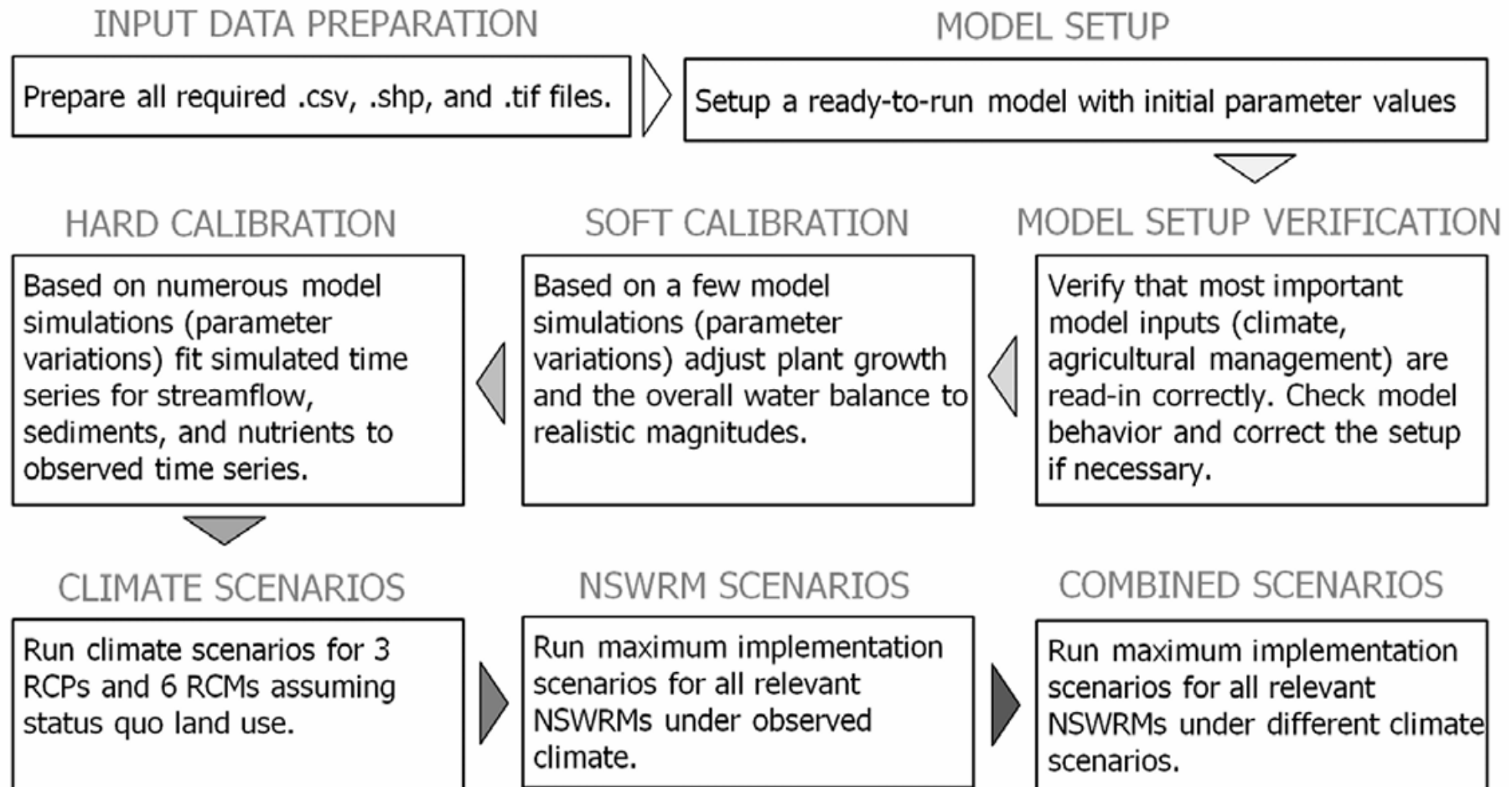
<sup>5</sup>Warsaw University of Life Sciences, svajunas\_plunge@sggw.edu.pl

<sup>6</sup>Helmholtz Centre for Environmental Research - UFZ, michael.strauch@ufz.de

<sup>7</sup>Centre for Agricultural Research, Institute for Soil Sciences, szabo.brigitta@atk.hu

<sup>8</sup>Warsaw University of Life Sciences, mikolaj\_piniewski@sggw.edu.pl

# Workflow - overview



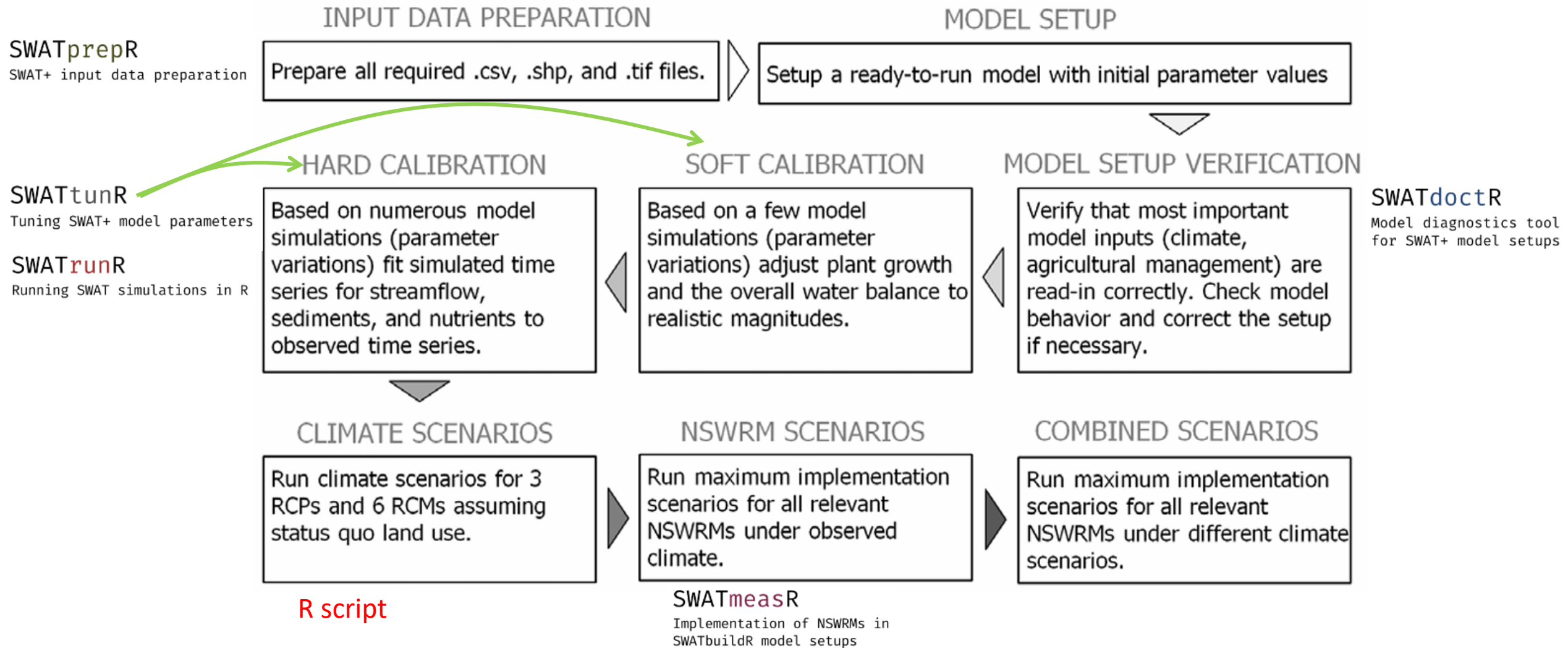
# Workflow + tools - overview

SWAT**buil**dR

An object connectivity based SWAT+ model builder

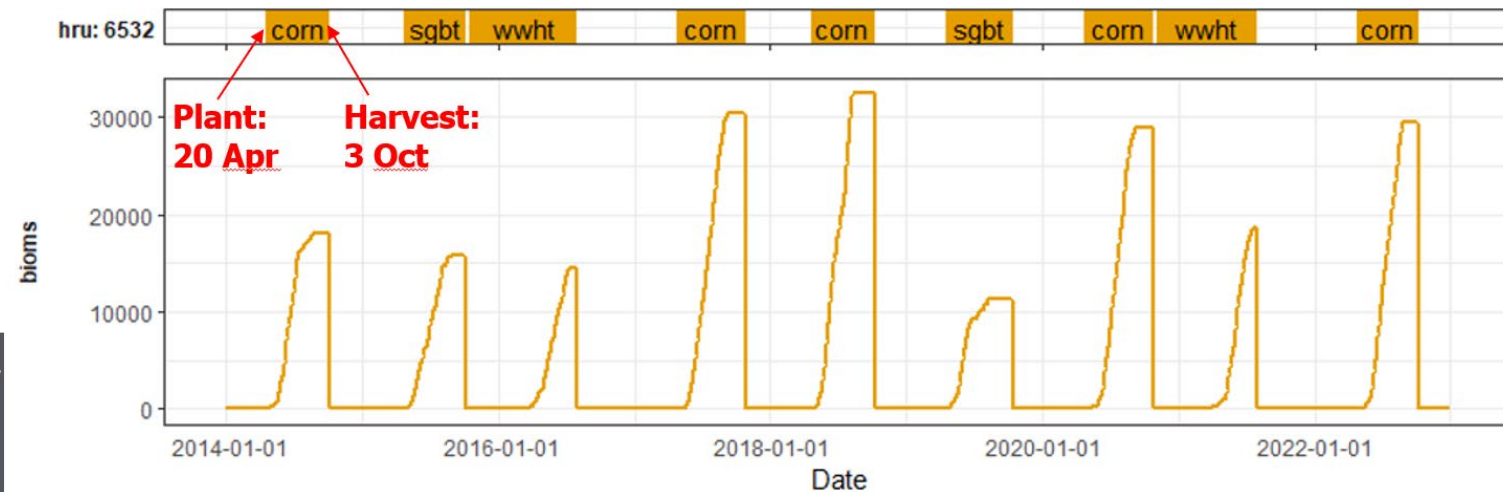
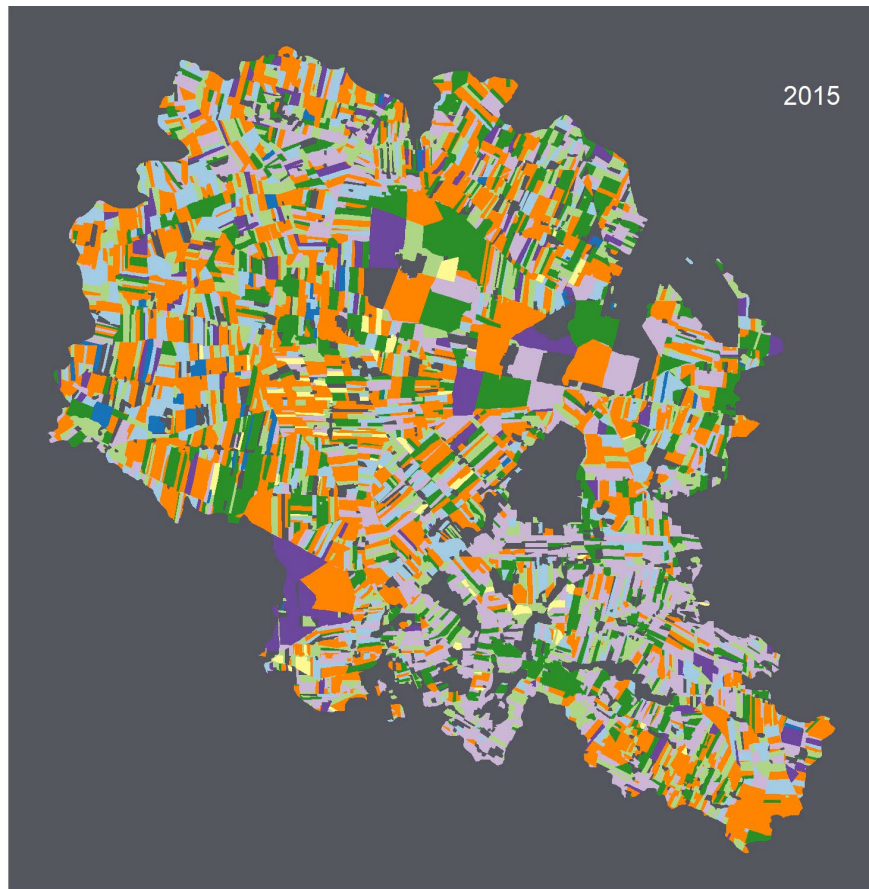
SWAT**farm**R

Simple rule based management operation scheduling



# Key requirements for SWAT+ model setups in OPTAIN

## 1. Individual fields as HRUs with their crop rotations and associated management



Mészáros, J., & Szabó, B. (2022). Script to derive and apply crop classification based on Sentinel 1 satellite radar images in Google Earth Engine platform (cropmap). Zenodo. <https://doi.org/10.5281/zenodo.6700122>



SWATfarmR

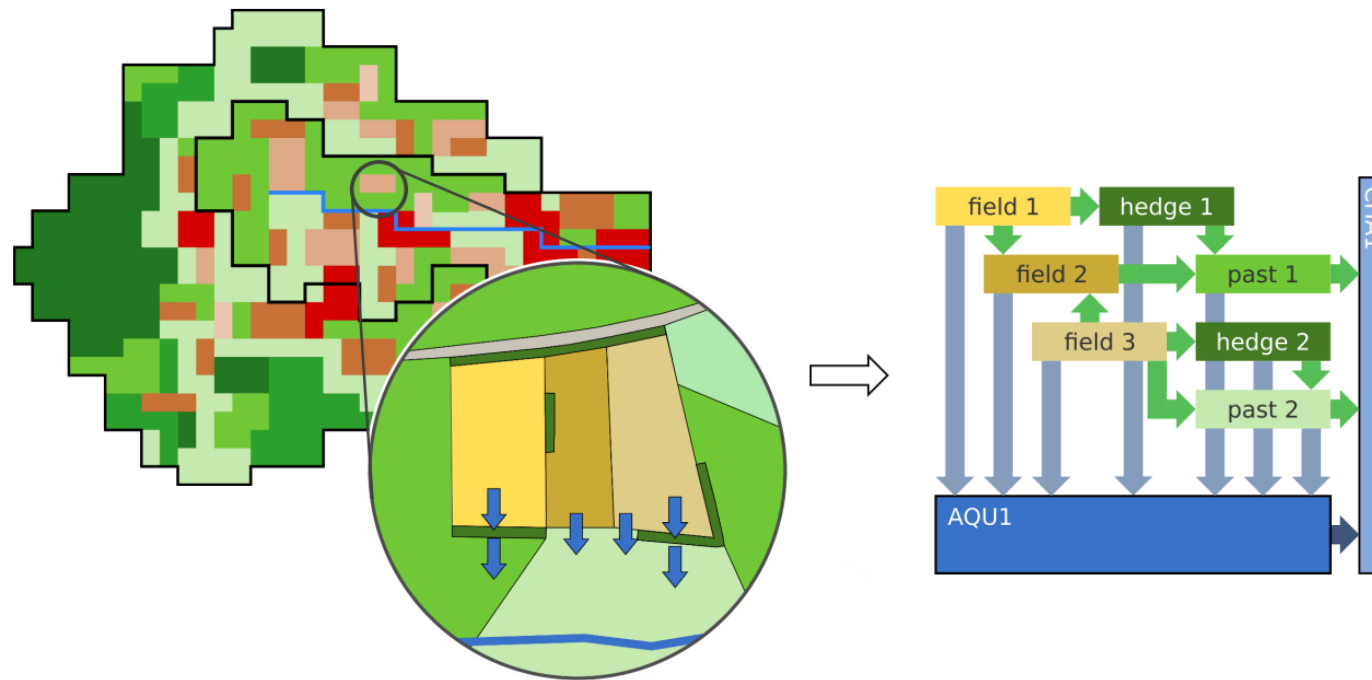
Simple rule based management  
operation scheduling





# Key requirements for SWAT+ model setups in OPTAIN

## 2. Allow contiguous routing between all land and water objects

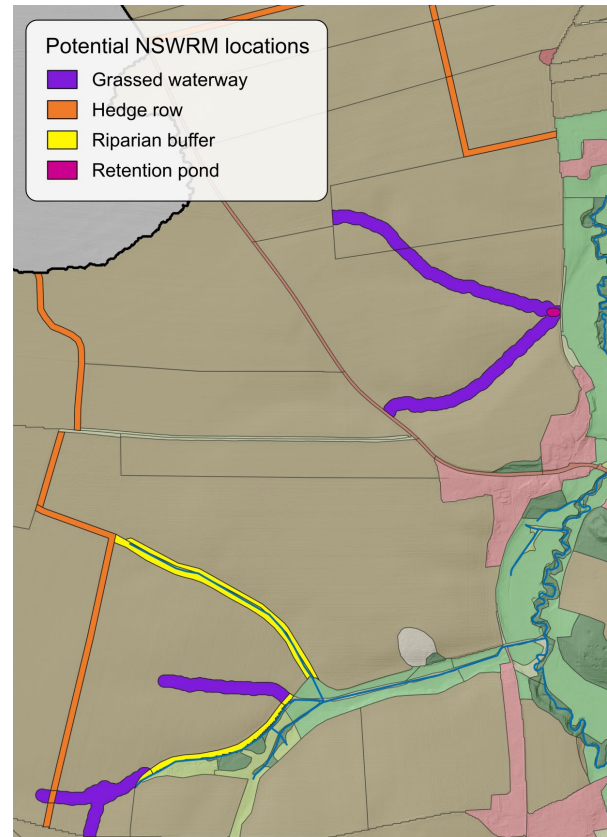


SWATbuildR

An object connectivity based SWAT+ model builder

# Key requirements for SWAT+ model setups in OPTAIN

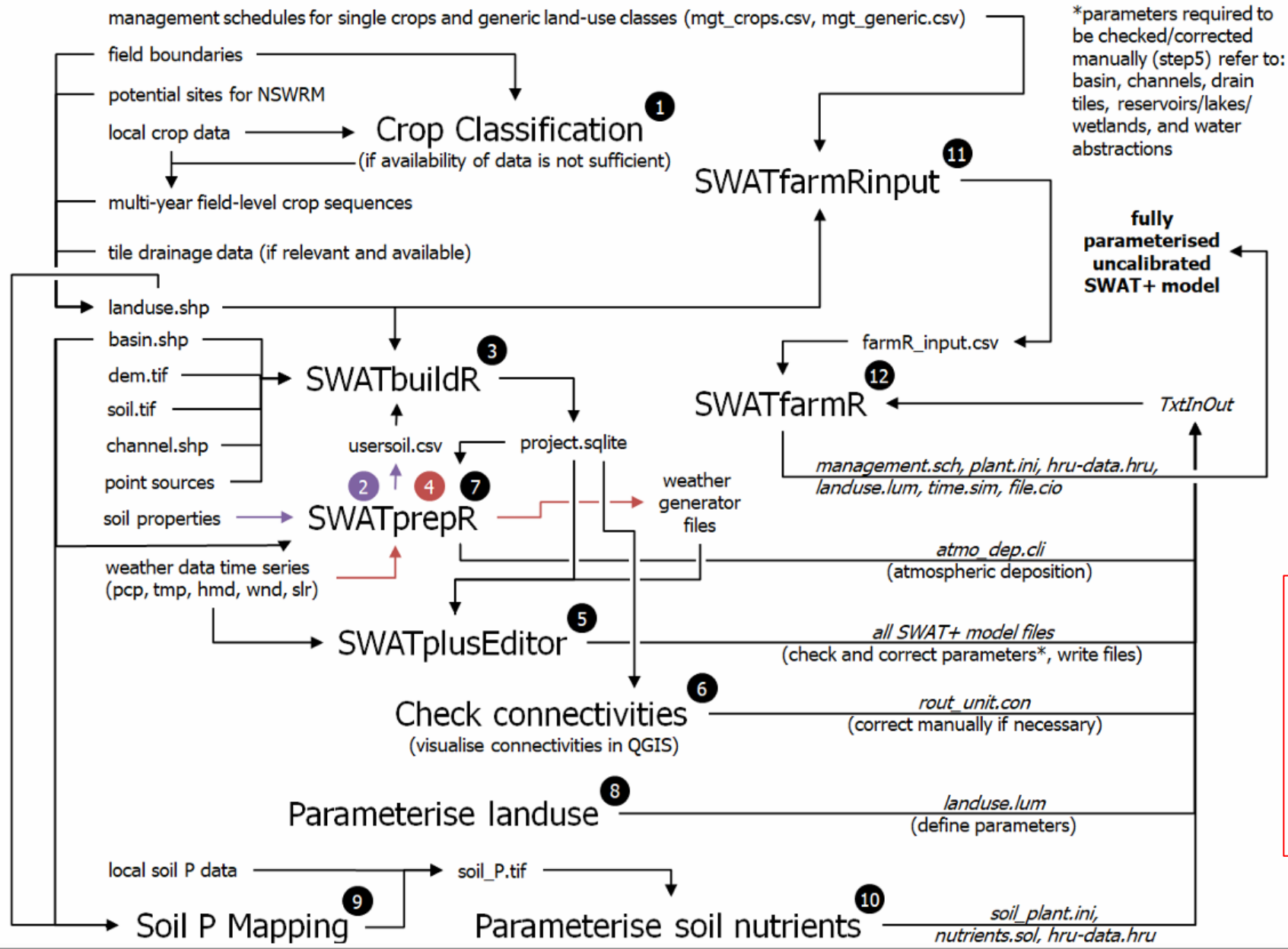
## 3. Allow for spatially-explicit representation of selected structural NSWORMs at HRU level



SWATmeasR

Implementation of NSWORMs in  
SWATbuildR model setups

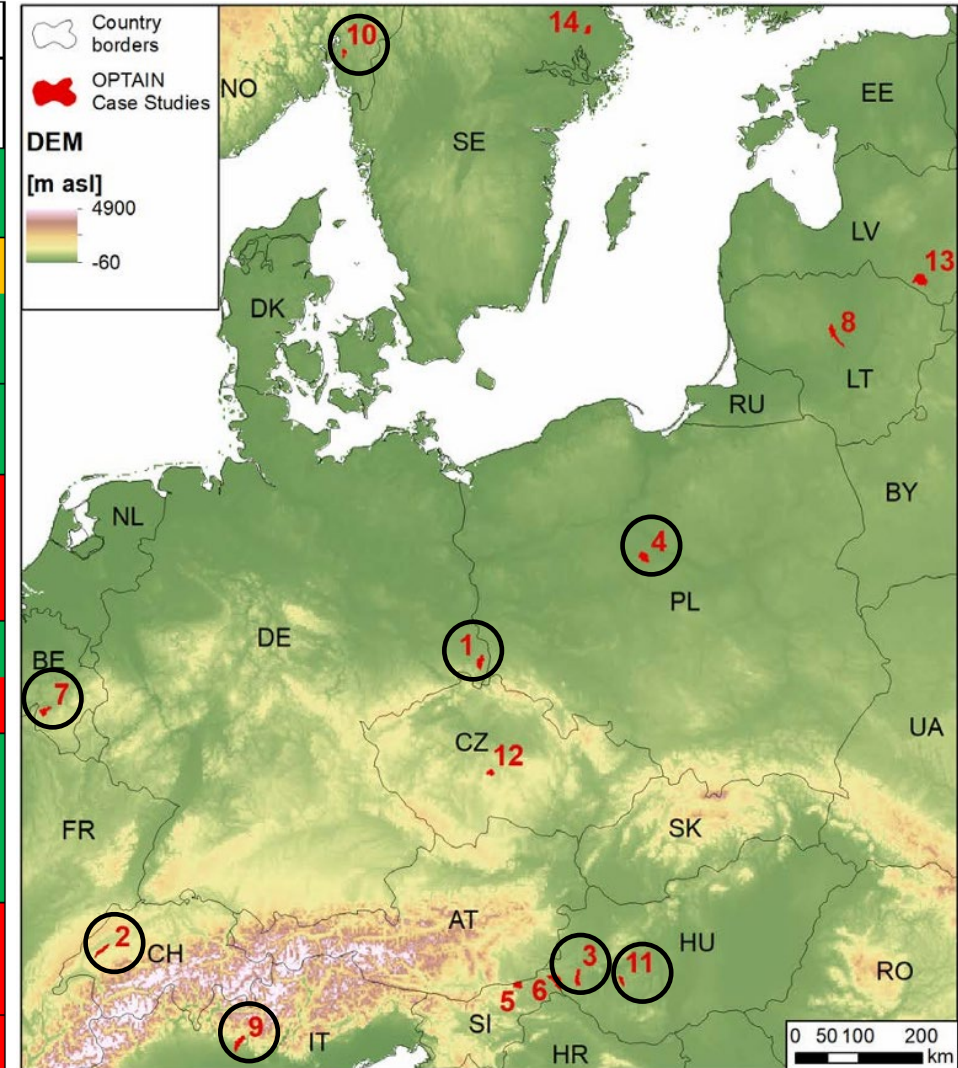
# Workflow for setting up a ready-to-run SWAT+ model



Plunge, S., Piniewski, M., Schürz, C., & Strauch, M. (2024). SWAT+ model setup preparation scripted workflow (in R language). Zenodo. <https://doi.org/10.5281/zenodo.12564534>

# OPTAIN SWAT+ model setups

Case Study / catchment		Model setup		Model evaluation			Scenarios		
		SWAT buildR	SWAT farmR	SWAT doctR	Soft calibration	Hard calibration	Validation	Climate change	NSWRM
✓	CS1 (DE)	Schwarzer Schöps	Green	Green	Green	Green	Green	Green	Green
✓	CS2 (CH)	Petite Glâne	Green	Green	Green	Yellow	Yellow	Green	Yellow
✓	CS3 (HU)	Felső-Válicka	Green	Green	Green	Yellow	Yellow	Green	Green
✓	CS4 (PL)	Upper Zgłowiączka	Green	Green	Green	Green	Green	Green	Green
	CS5 (SI)	Pesnica	Green	Green	Yellow	Red	Red	Red	Red
	CS6 (SI/HU)	Kebele / Kobiljski	Green	Green	Red	Red	Red	Red	Red
✓	CS7 (BE)	La Wimbe	Green	Green	Green	Green	Green	Green	Green
	CS8 (LT)	Dotnuvele	Green	Yellow	Red	Red	Red	Red	Red
✓	CS9 (IT)	Cherio	Green	Green	Green	Green	Green	Green	Green
✓	CS10 (NO)	Kråkstad	Green	Green	Green	Green	Green	Green	Green
✓	CS11 (HU)	Tetves	Green	Green	Green	Green	Green	Green	Green
	CS12 (CZ)	Cechticky	Green	Green	Green	Yellow	Yellow	Red	Red
	CS13 (LV)	Dviete	Yellow	Yellow	Red	Red	Red	Red	Red
	CS14 (SE)	Sävjaan	Green	Green	Yellow	Red	Red	Red	Red



Note: **Green** completed, **Yellow** in progress, **Red** not started

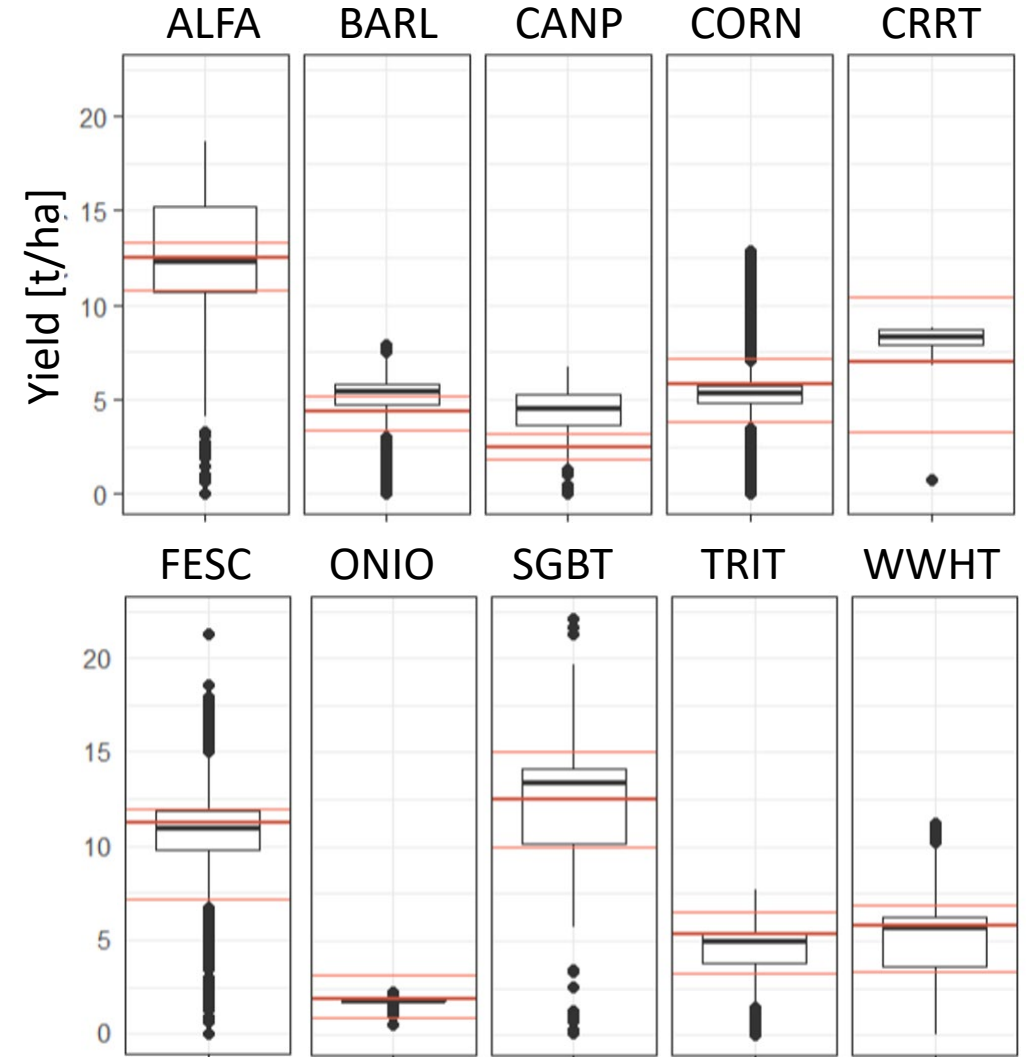
Status: March 2024

# Results: crop yield soft calibration

Average simulated vs observed crop yields (CS4 – PL)

## Parameter changes for winter wheat across Case Studies

Case Study	Days to maturity ('d_mat')	Potential LAI ('lai_pot')	Harvest index ('harv_idx')	Biomass energy ratio ('bm_e')
Change method	Absolute change	Relative change		
CS1 (DE)	-50	-0.03	0.22	0.11
CS2 (CH)	-30	0	0.25	0
CS3 (HU)	-45	0	-0.15	0
<b>CS4 (PL)</b>	<b>-70</b>	<b>0.2</b>	<b>0.2</b>	<b>0.1</b>
CS7 (BE)	-30	0.5	0.05	0.21
CS9 (IT)	-105	0	0.4	1.5
CS10 (NO)	-40	0.63	0.29	0
CS11 (IT)	-50	0	-0.1	0
CS12 (CZ)	-60	0	0.3	0

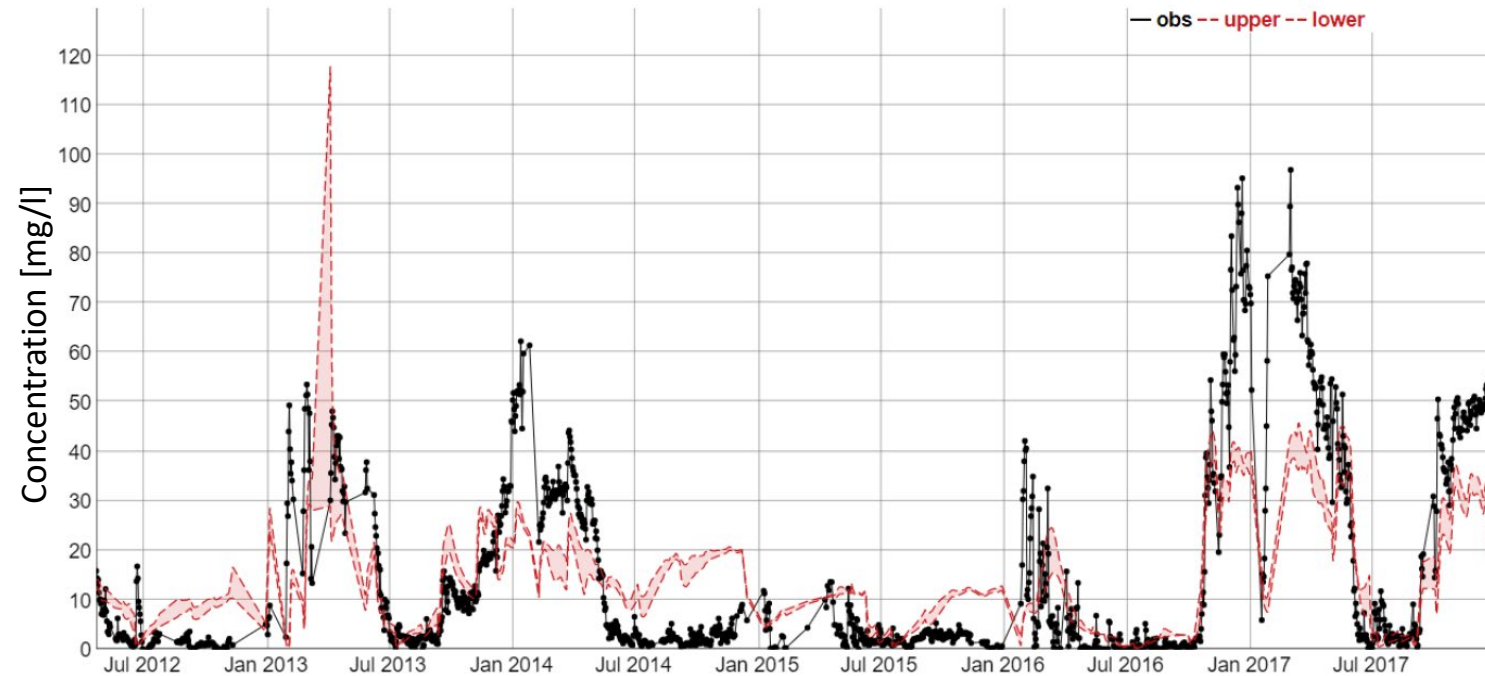


# Results: hard calibration (discharge / nutrients)

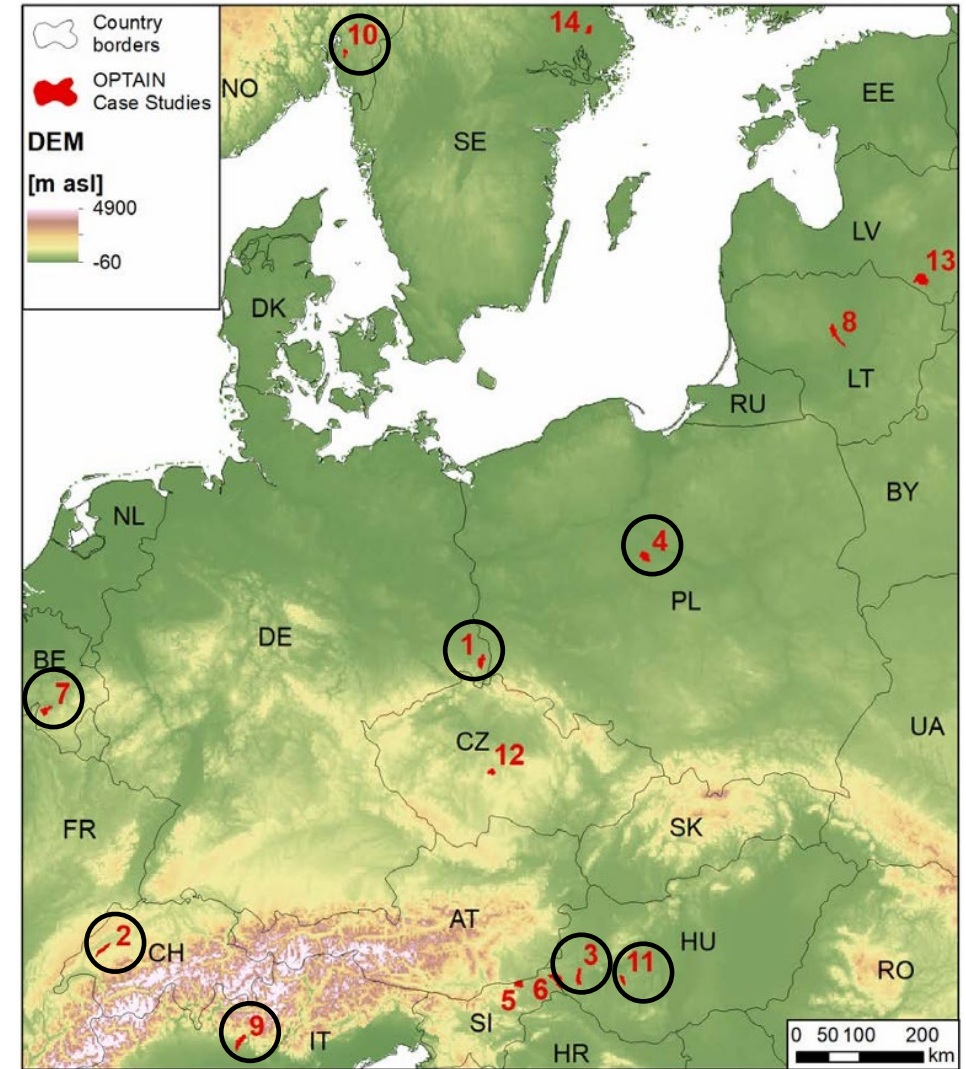
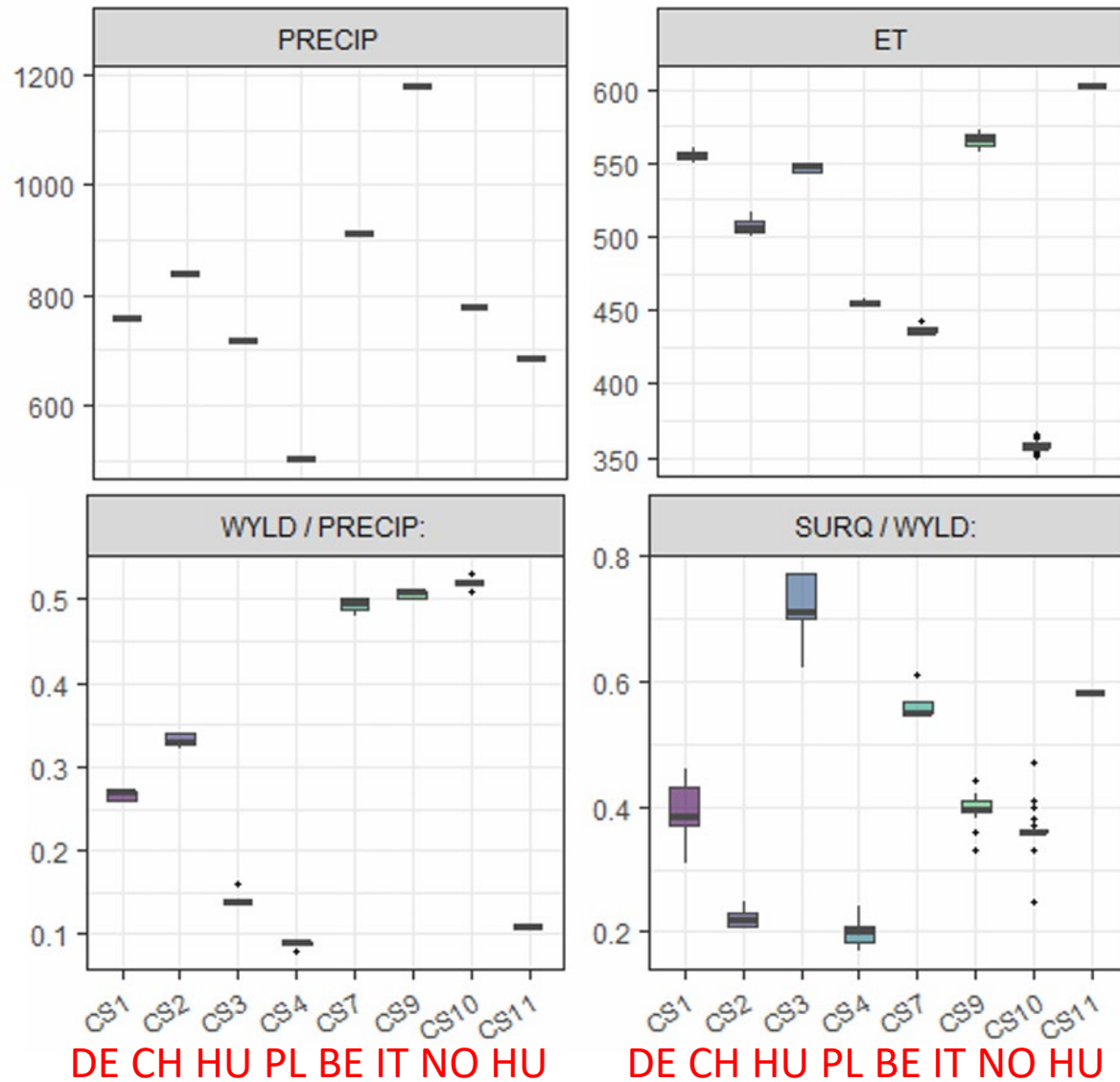
Model performance metrics across Case Studies

Calibration plot for N-NO<sub>3</sub> concentrations (CS4 – PL)

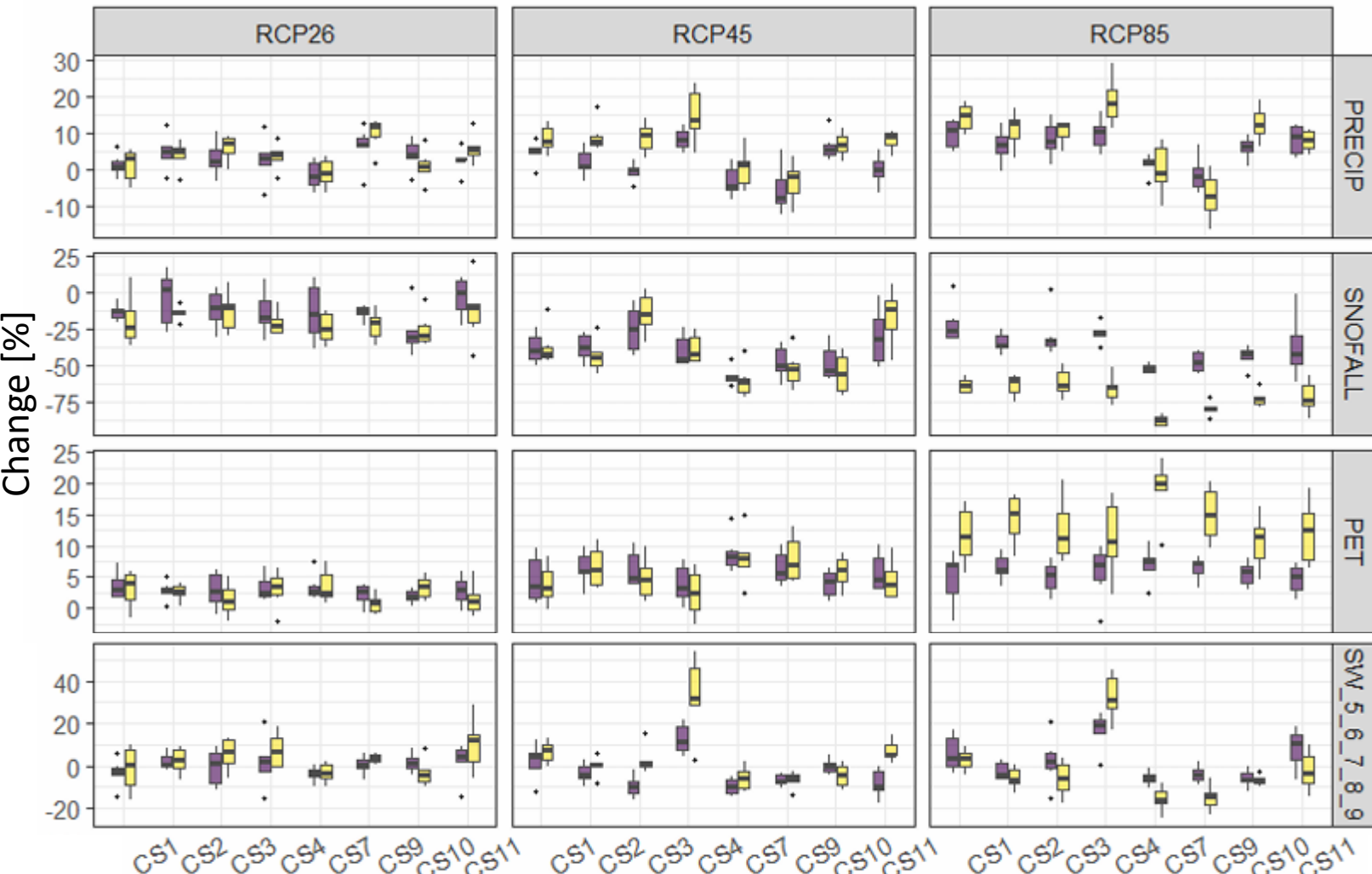
Case Study	Discharge			Water quality			
	KEG	NSE	PBIAS	Variable	KEG	NSE	PBIAS
CS1 (DE)	0.79	0.69	-0.4	TP load	0.79	0.67	-5.5
CS2 (CH)	0.83	0.80	-3.7				
CS3 (HU)	0.57	0.33	-7.0				
<b>CS4 (PL)</b>	<b>0.81</b>	<b>0.63</b>	<b>-0.9</b>	<b>NO<sub>3</sub>-N conc</b>	<b>0.51</b>	<b>0.59</b>	<b>-7.6</b>
CS7 (BE)	0.81	0.75	-4.2	NO <sub>3</sub> -N conc			-53.2
CS9 (IT)	0.76	0.56	-3.2				
CS10 (NO)	0.78	0.66	5.3	TN conc	0.78	0.68	5.2
CS11 (HU)	0.75	0.58	-1.3	NO <sub>3</sub> -N conc	0.33	-0.33	-20.1



# Simulated outputs for the baseline period

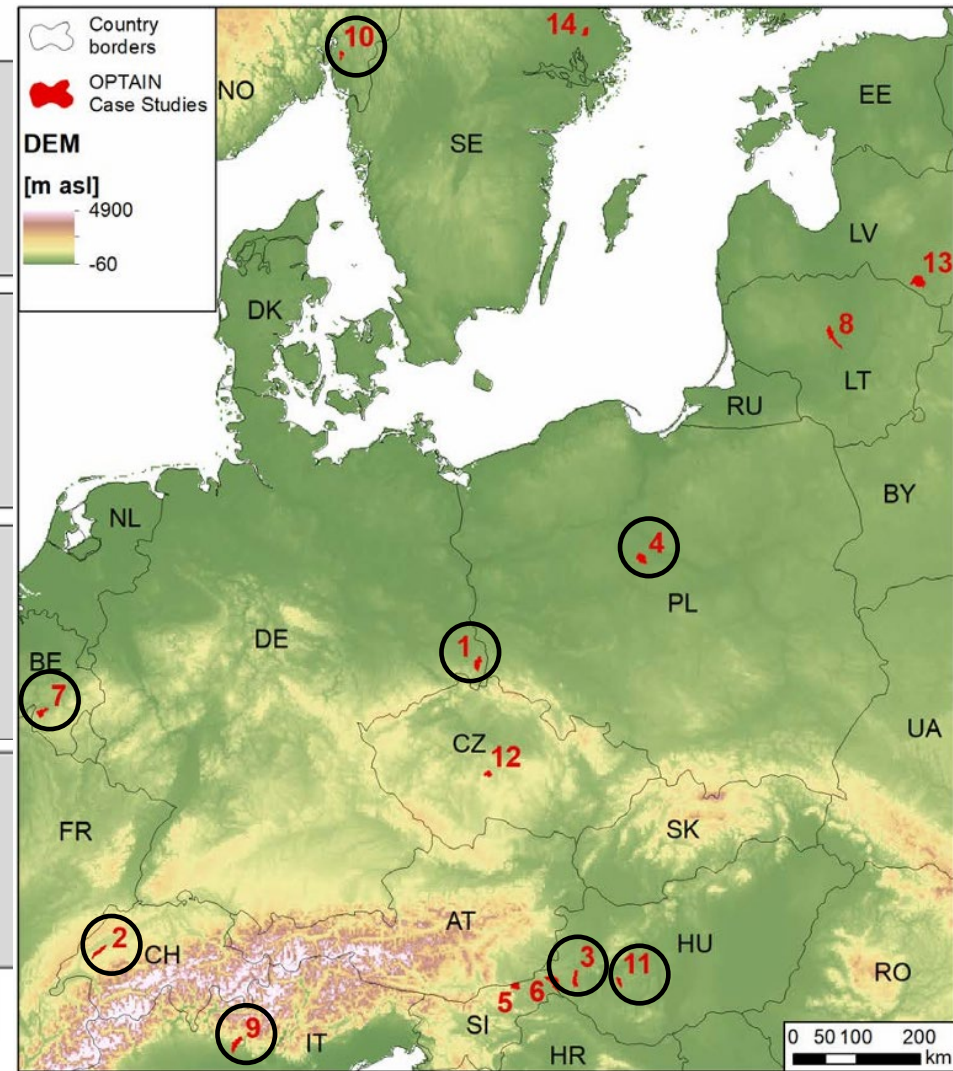


# Climate change effects on water balance



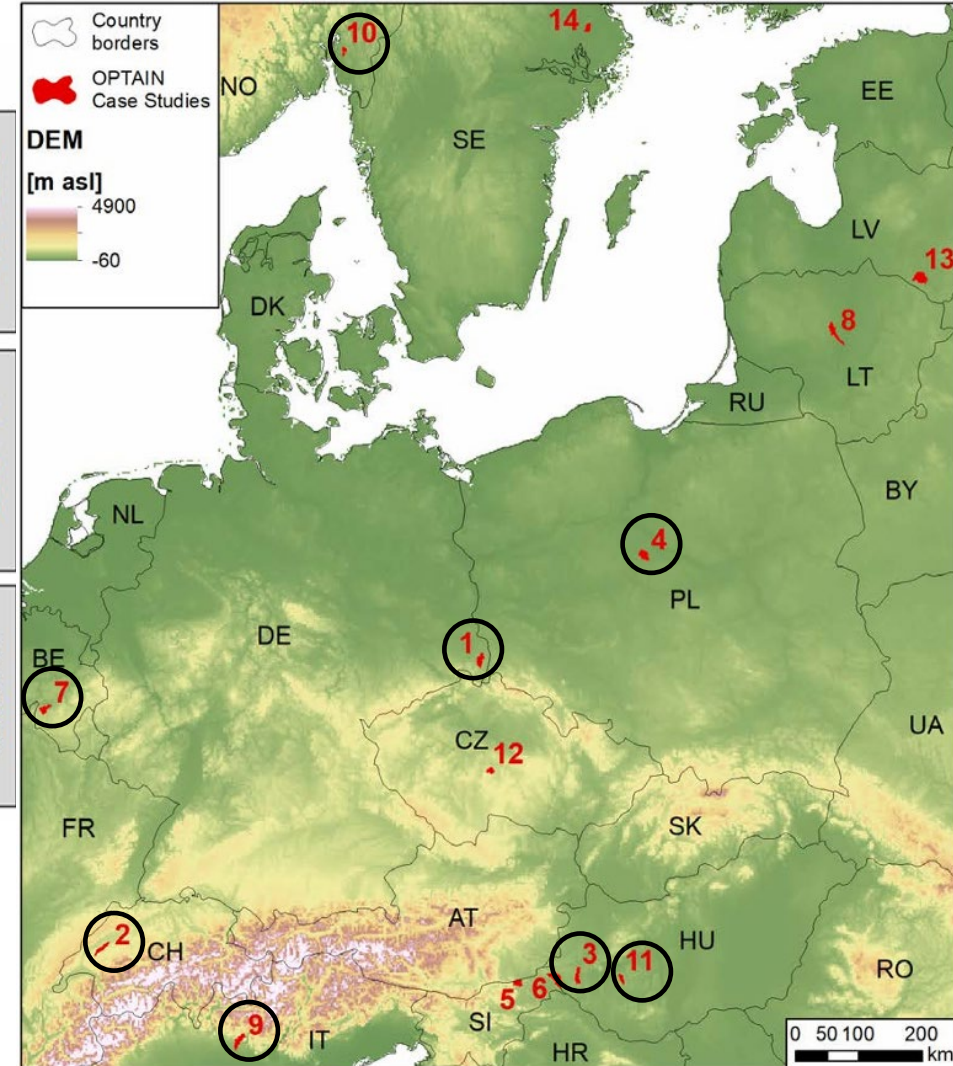
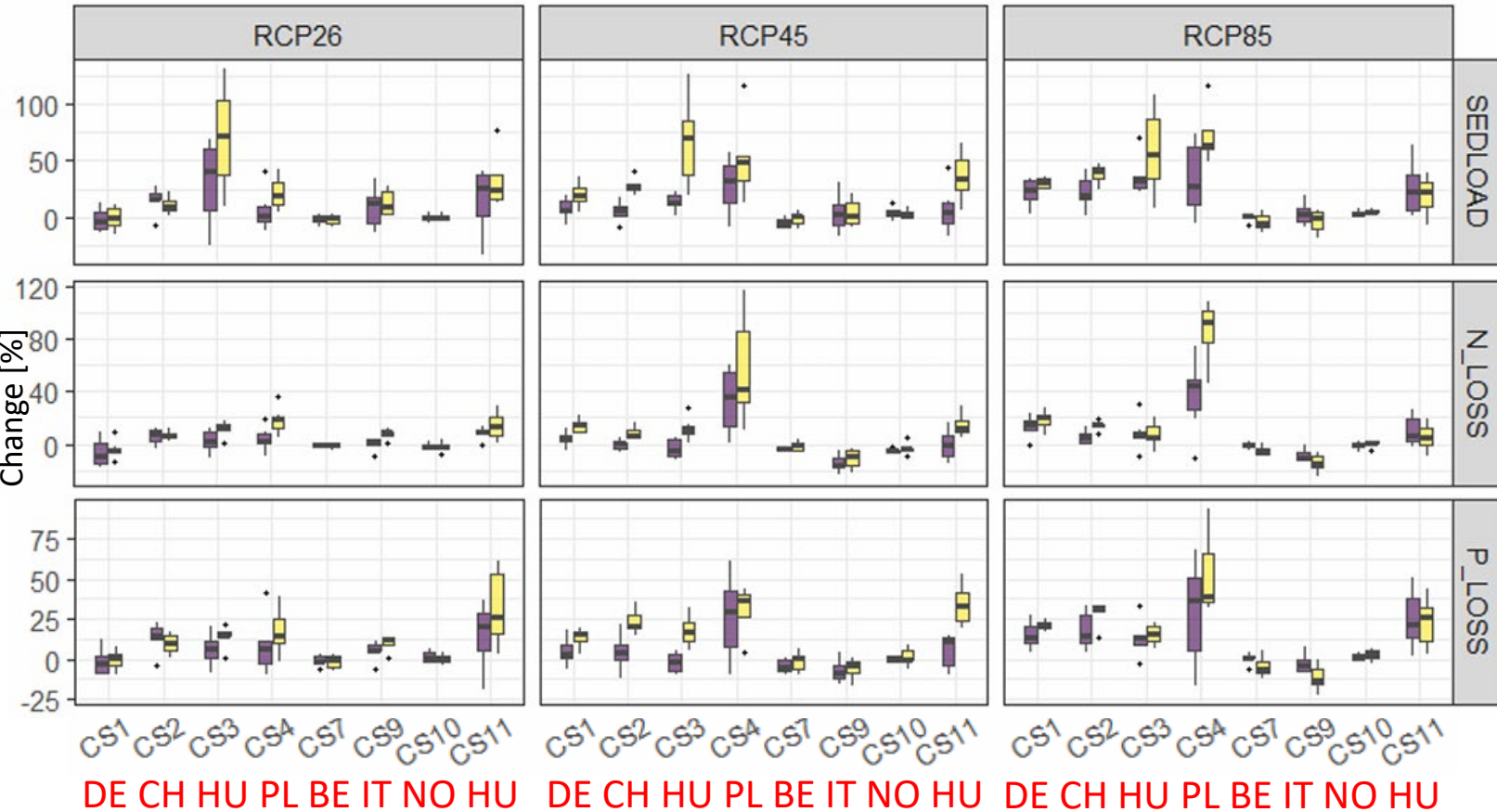
DE CH HU PL BE IT NO HU DE CH HU PL BE IT NO HU DE CH HU PL BE IT NO HU

Period Near future End century





# Climate change effects on sediment and nutrient losses



# Preliminary qualitative screening of NSWRM effectiveness

NSWRM	Type of change	DE	HU	PL	BE	IT	NO	HU
		CS1	CS3	CS4	CS7	CS9*	CS10	CS11
Afforestation	Land cover			NP				W
Riparian buffers	Land cover		WP		NP			P
Floodplain restoration	Land cover							
Grassed waterways	Land cover	NP					W	
Hedges	Land cover							
Cover crops	Crop mgt.			N				
Drought-resistant plants	Crop mgt.							
Low tillage	Soil mgt.						WN	
Low tillage + cover crops	Crop & soil mgt.	WP						
No tillage	Soil mgt.		WNP					WP
Terracing	Land morphology							
Controlled drainage	Art. impoundment							
Channel restoration	Art. impoundment					W		
Detention ponds	Art. impoundment			NP		W		
Wetlands	Art. impoundment							
Combined**	Depends on comb.	WNP	WNP	NP	NP	W	WN	WP

## Legend

Black => NSWRM has been modelled

W – effectiveness in water retention

N – effectiveness in N retention

P – effectiveness in P retention

Note: the results strongly depend on the scale of NSWRM application – that was not harmonised 😊

## Final remarks

Harmonisation comes at a cost => high demand for support from less experienced modellers

Scaling up? Possible, but major effort needed for land object layer preparation (SWATbuildR input)

In-depth spatial analysis of model results revealed possible SWAT+ issues (e.g. very high N losses from forests)

Ongoing task: where to place which measures to maximise multiple benefits (CoMOLA)

## If you want to find out more about OPTAIN results during the conference...

Name	Focus	Session
Michael Strauch	<b>Effectiveness</b> of NSWORMs in a German CS	A2 (Wed 10:50)
Péter Braun	Climate change <b>effects</b> on hydrology and crops in a Hungarian CS	A2 (Wed 11:10)
Enrico Chiaradia	<b>Effectiveness</b> of NSWORMs in a Italian CS	Poster (Wed 16:30)
Christoph Schürz	New <b>tool</b> for automated implementation of NSWORMs in SWAT+ model setups: SWATmeasR	F1 (Thu 13:30)
Svajunas Plunge	New <b>tool</b> supporting SWAT+ calibration: SWATtunR	F1 (Thu 13:50)
Piroska Kassai	<b>Effectiveness</b> of NSWORMs in a Hungarian CS	F1 (Thu 14:10)
Brigitta Szabó	Improving soil input <b>data</b> for environmental modeling	F1 (Thu 14:30)



# Invitation to the OPTAIN webinar on modeling water and nutrient retention measures

Wednesday, 3 September, 15:00-17:00 CET

Topics: overview of developed tools, example results, Q&A



## Further resources

 **OPTAIN**  (short url: [bit.ly/optain\\_zenodo](https://bit.ly/optain_zenodo))

- ↳ Assessment of NSWRM effectiveness under current and future climate at the catchment scale
- ↳ SWAT+ modelling protocol for the assessment of NSWRM in small agricultural catchments
- ↳ Derivation of soil physical and hydraulic properties (R script)
- ↳ Map topsoil phosphorus content (R script)

 **GitHub**



[biopsichas.github.io/SWATprepR](https://biopsichas.github.io/SWATprepR)

[chrisschuerz.github.io/SWATfarmR](https://chrisschuerz.github.io/SWATfarmR)

[chrisschuerz.github.io/SWATrunR](https://chrisschuerz.github.io/SWATrunR)

[biopsichas.github.io/SWATtunR/](https://biopsichas.github.io/SWATtunR/)

  **GitLab**



[git.ufz.de/schuerz/swatdoctr](https://git.ufz.de/schuerz/swatdoctr)

Thank you to OPTAIN modellers!



**Thank you!**



**Mikołaj Piniewski**

Warsaw University of Life Sciences

[mikolaj\\_piniewski@sggw.edu.pl](mailto:mikolaj_piniewski@sggw.edu.pl)



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