

Improvement of SWAT Auto-Calibration for Accuracies in High and Low Flow Regime using K-means Clustering Algorithm



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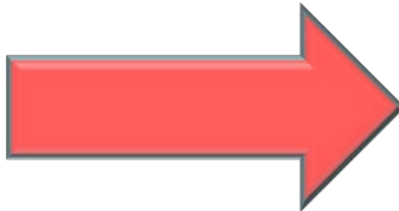
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Nam Won Kim, Dong Su Gong, Kyoung Jae Lim

Kangwon National University

□ Introduction

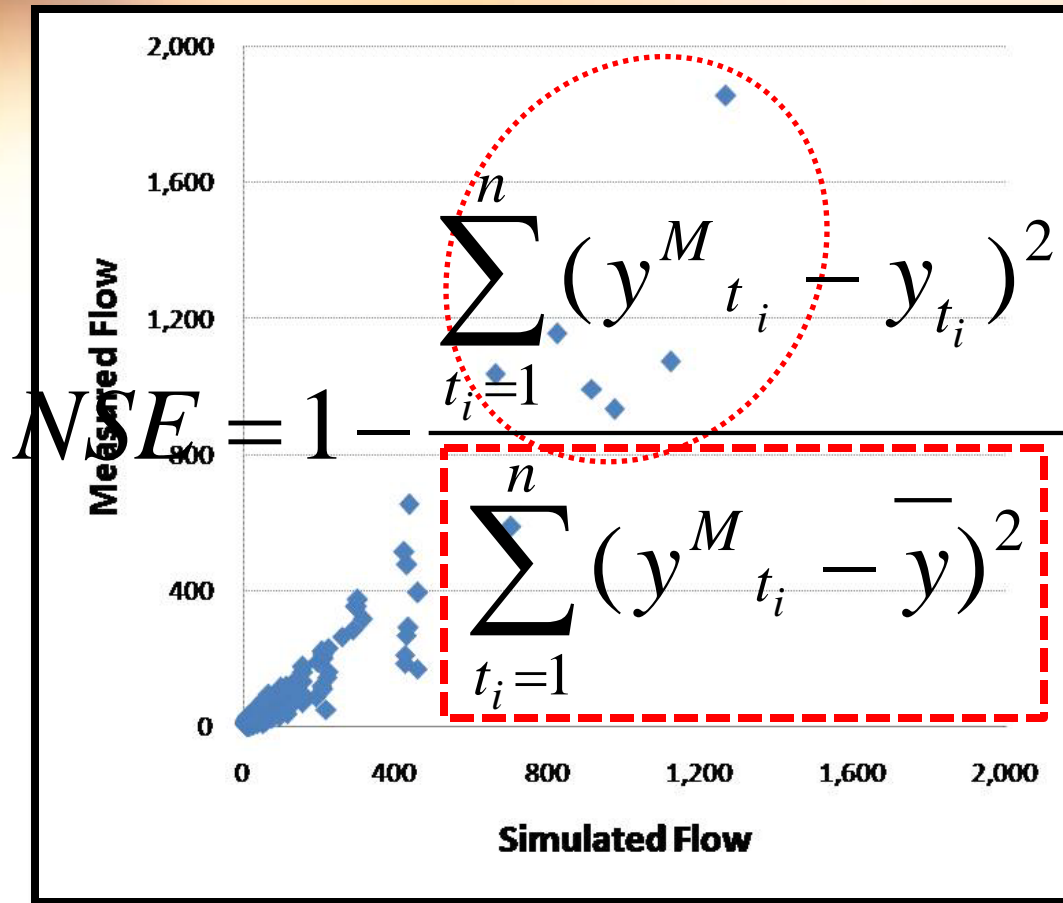
Nash and Sutcliff coefficient = NSE

$NSE = 1$



Perfect match

□ Introduction



□ Introdu

K-Water(Korea Water Resources Corporation) (1996)

Name of river

The coefficient of river regime

Almost 3,500 CMS

390

Thames River

8

Seine River

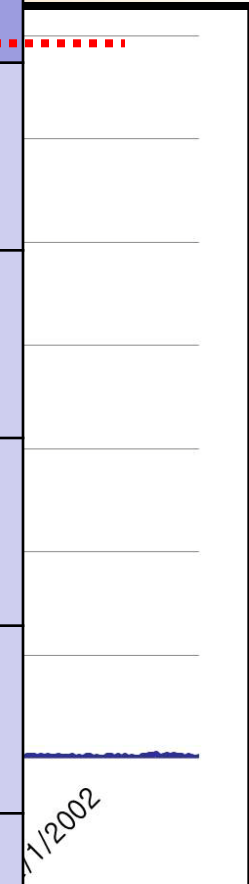
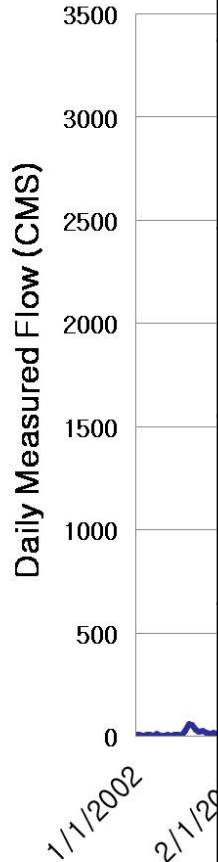
34

Rhein River

16

Missouri River

75



- The bec

- This NSE mea

high er.

water atch

□ Introduction

SWAT auto-calibration

Parameter solution (Parasol)

Best parameter

□ Introduction

Parameter solution (Parasol)

Goal function = NSE

It has a many chances to make a problem!!

□ Introduction

K-means clustering algorithm

Simplest algorithm that solve the well known **clustering problem**


□ Objectives of this study

- To modify SWAT Auto-calibration using K-means clustering algorithm to improve accuracy in flow estimation for all flow regime
- To evaluate enhanced SWAT Auto-calibration module developed with K-means clustering algorithm by applying it to study watershed

□ Two SWAT auto-calibration modules

- SWAT auto-calibration using **Parameter Solution (Parasol)** in current SWAT 2005 engine
→ **Original auto-calibration**
- Enhanced SWAT Auto-calibration module using K-means algorithm → **K-means auto-calibration**

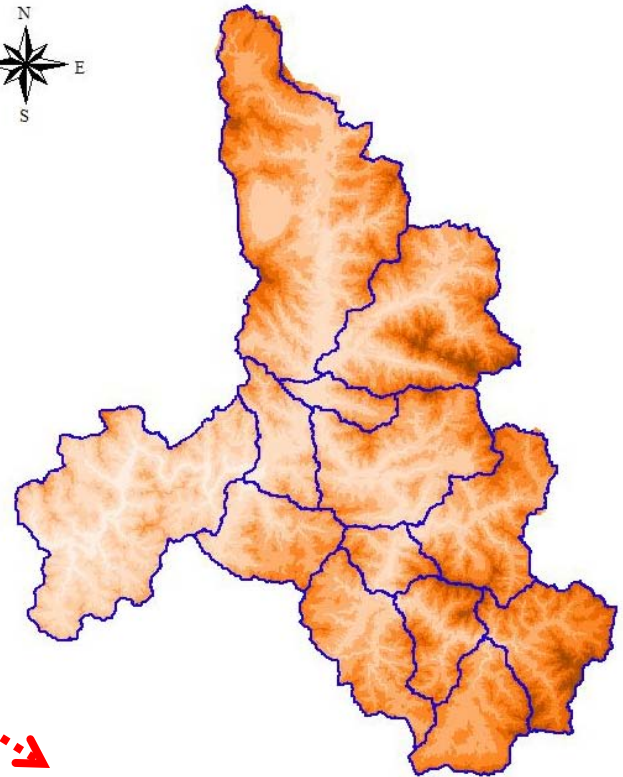
□ Study area



Area: 2,703 km²

Forest: 89.6 %

Agricultural: 5.3 %

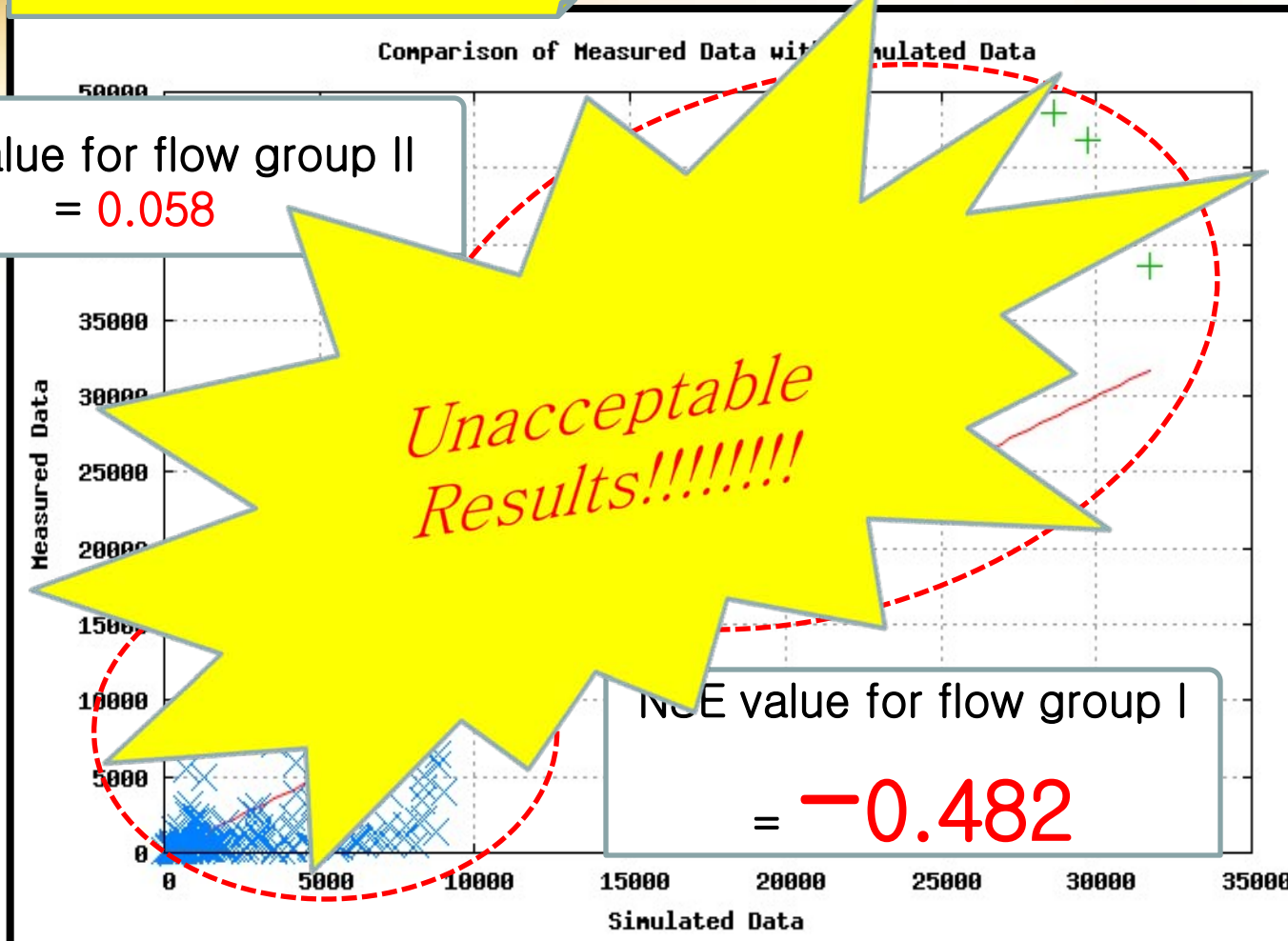


Development of K-means auto-calibration module and simple GIS interface

Weakness of Nash-Sutcliffe coefficient in evaluating flow simulation

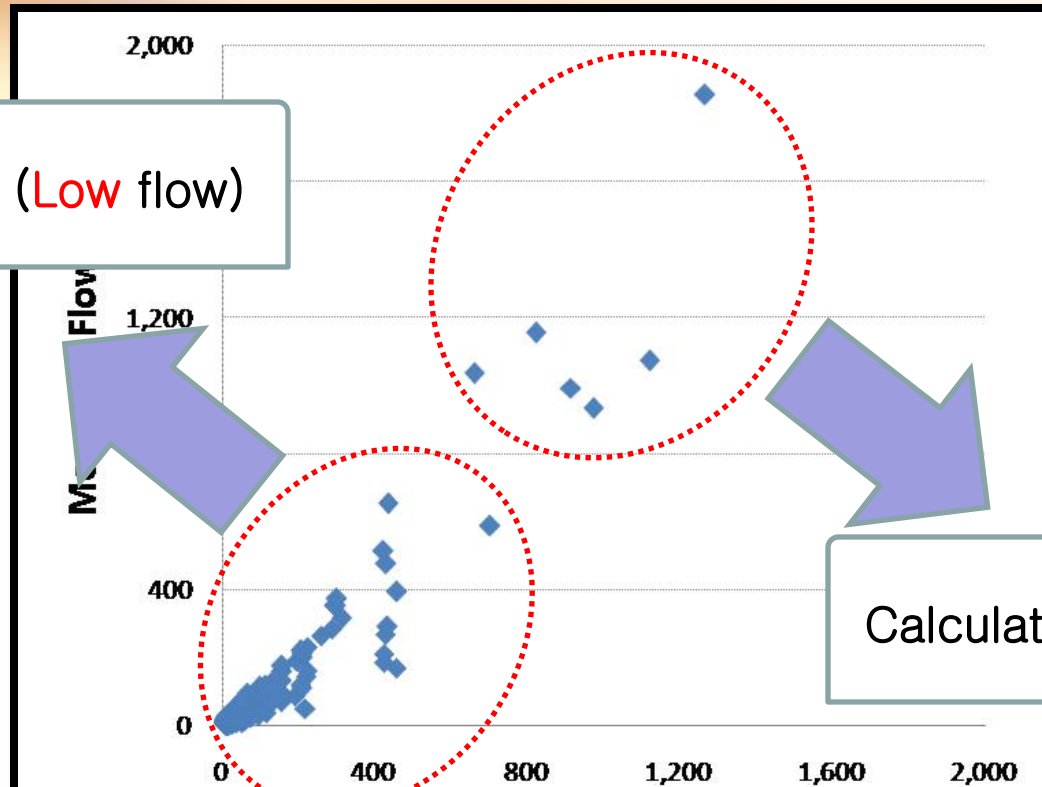
Park et al.,(2007)

NSE value for flow group II
= 0.058



□ Modification of auto-calibration using K-means algorithm

Calculate NSE (Low flow)



Calculate NSE (High flow)

Validation of High and Low flow separately

□ Objective function in Parasol

Parameter Solution (Parasol)

Objective function => Sum of the squares of the residuals(SSQ)

$$SSQ = \sum_{t_i=1}^n (y^M_{t_i} - y_{t_i})^2$$

$$NSE = 1 - \frac{SSQ}{\sum_{t_i=1}^n (y^M_{t_i} - \bar{y})^2}$$

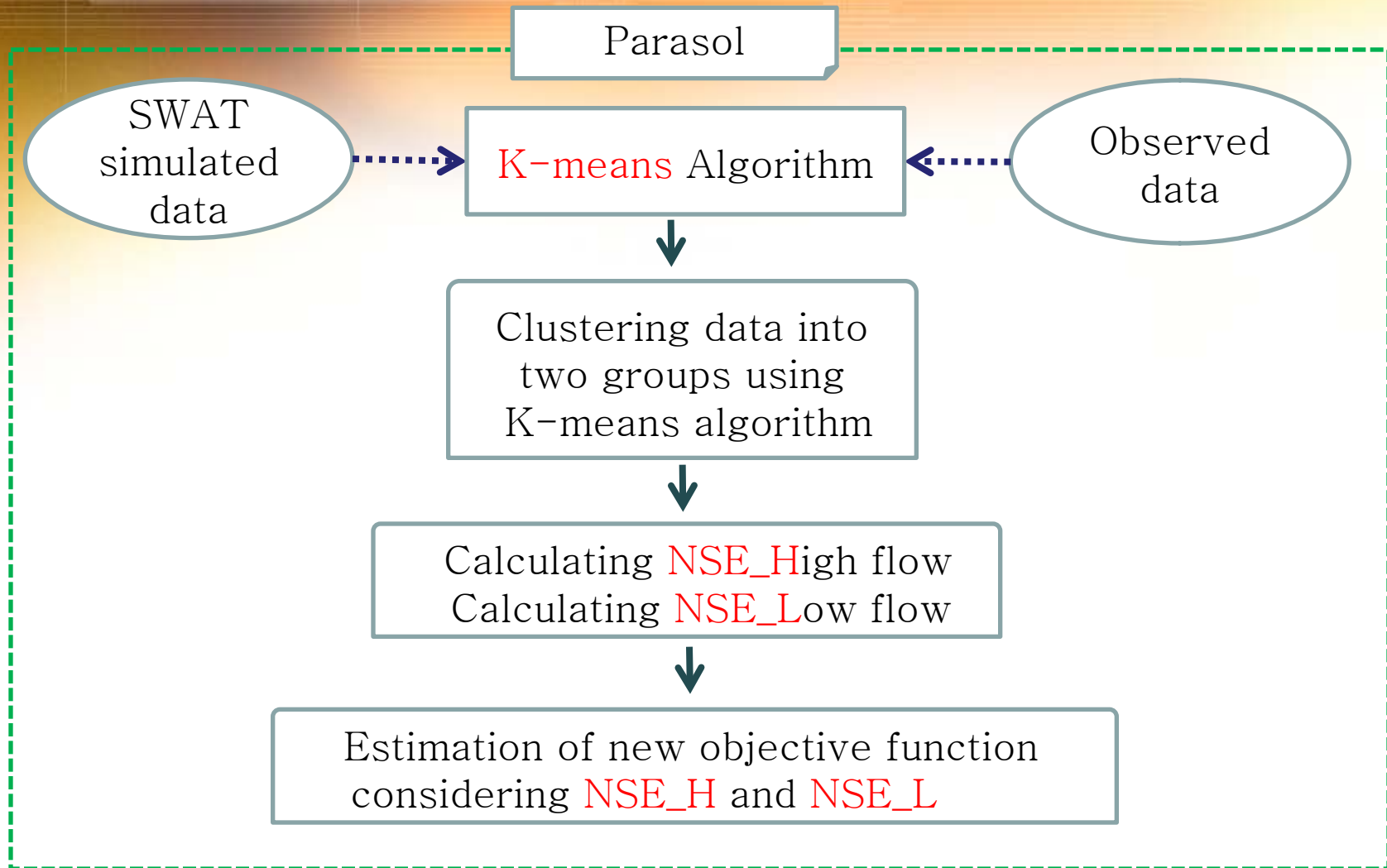
$y^M_{t_i}$ = Measured Data

y_{t_i} = Simulated Data

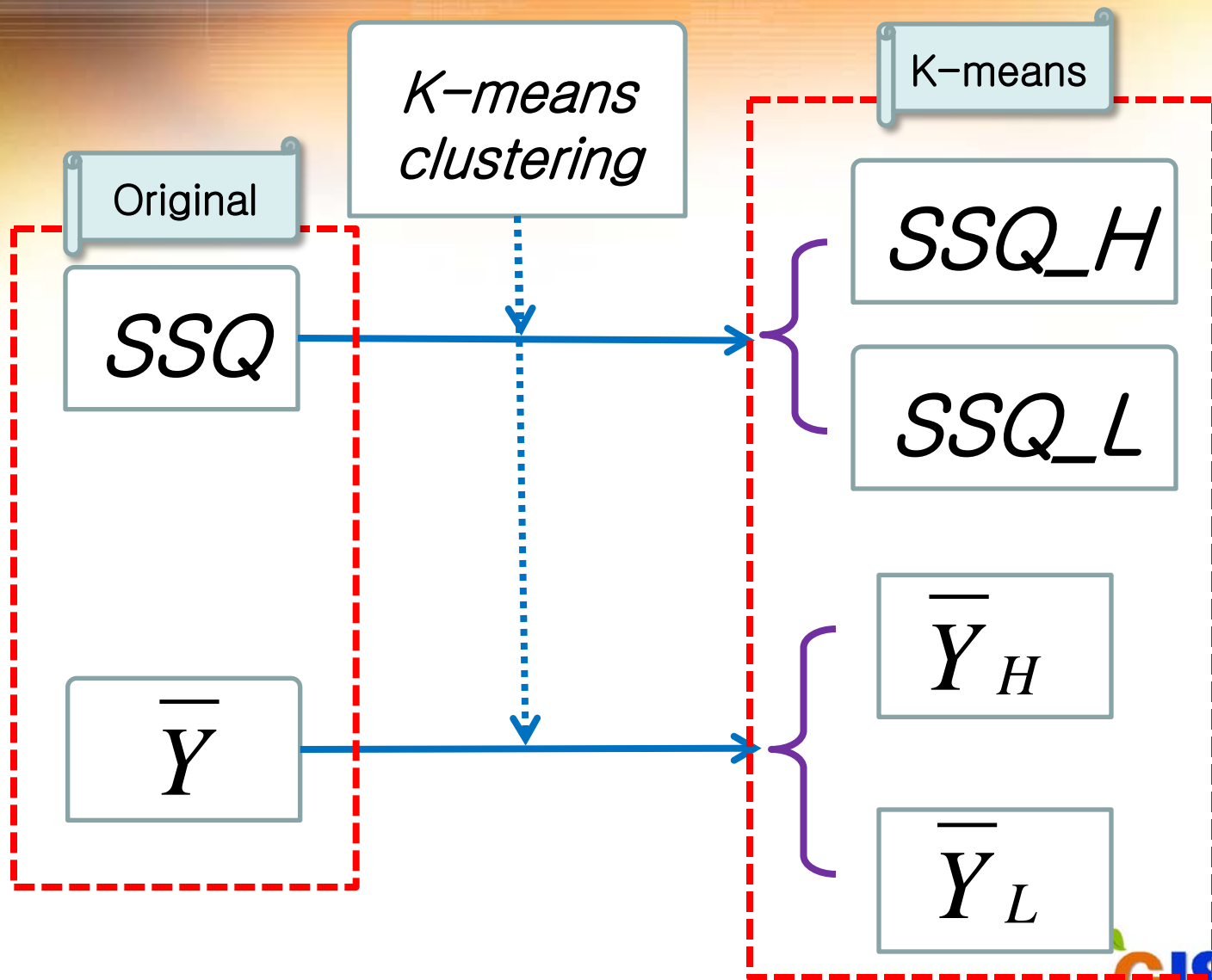
\bar{y} = Average of measured Data

$$\sum_{t_i=1}^n (y^M_{t_i} - \bar{y})^2 = \bar{Y}$$

□ Enhancement of Parasol algorithm using K-means clustering



□ Substitution of objective function considering **NSE_H** and **NSE_L**



□ Substitution of objective function considering **NSE_H** and **NSE_L**

$$\frac{SSQ_H}{\bar{Y}_H} <$$

&

$$\frac{SSQ_L}{\bar{Y}_L} <$$

$$NSE_H = 1 - \frac{SSQ_H}{\bar{Y}_H} > 0.6$$

&

$$NSE_L = 1 - \frac{SSQ_L}{\bar{Y}_L} > 0.6$$

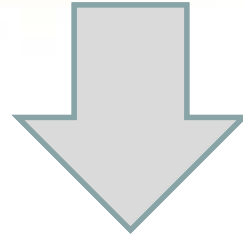
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Donigan and Love (2003)

	Poor	Fair	Good	Very Good
NSE for Daily Simulation	< 0.60	0.60 ~ 0.70	0.70 ~ 0.80	> 0.80

- Substitution of objective function considering **NSE_H** and **NSE_L**

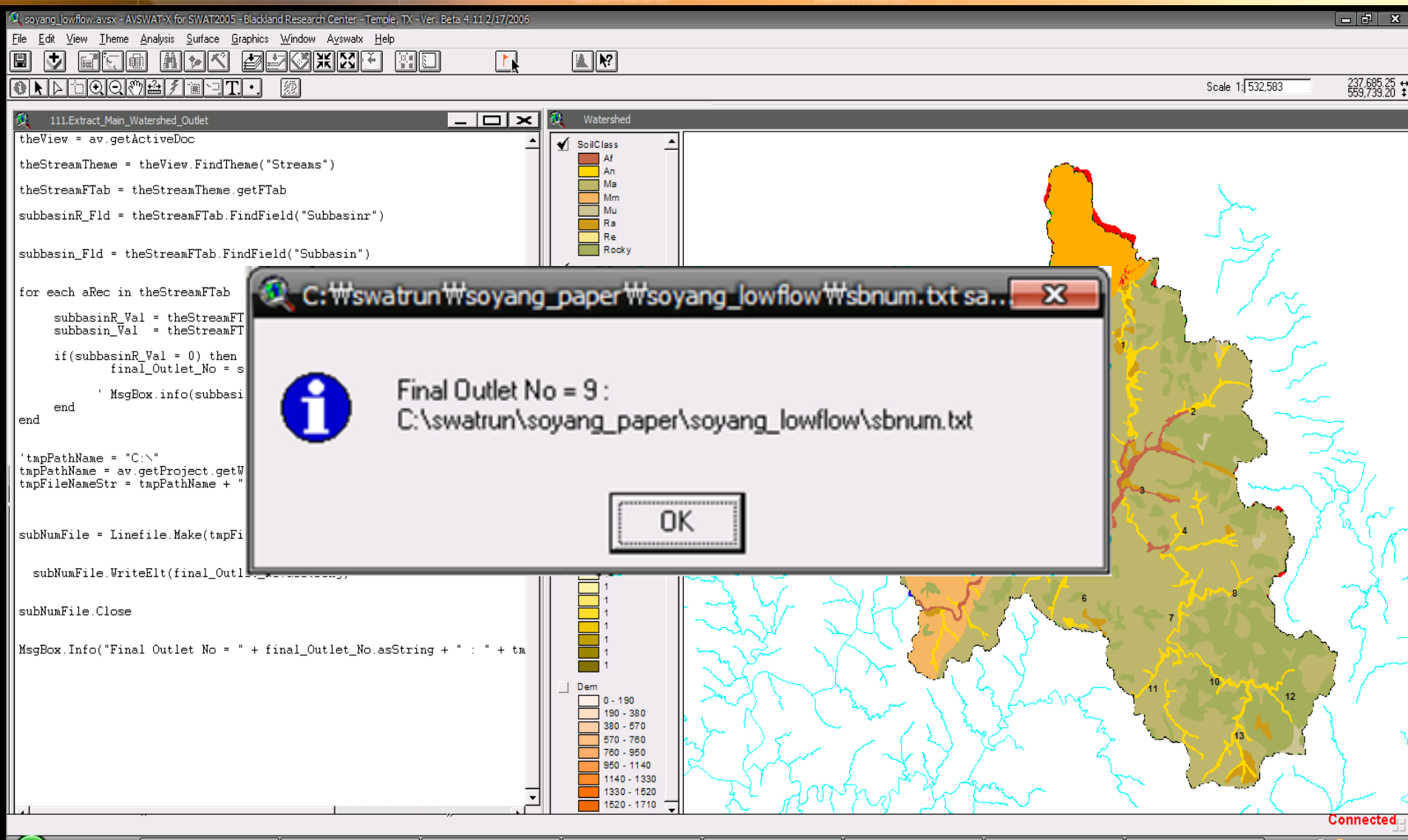
New objective function



$$\frac{SSQ_H}{\bar{Y}_H} + \frac{SSQ_L}{\bar{Y}_L}$$

NSE_H and **NSE_L** together

❑ Determination of the number of main outlet in watershed **automatically**



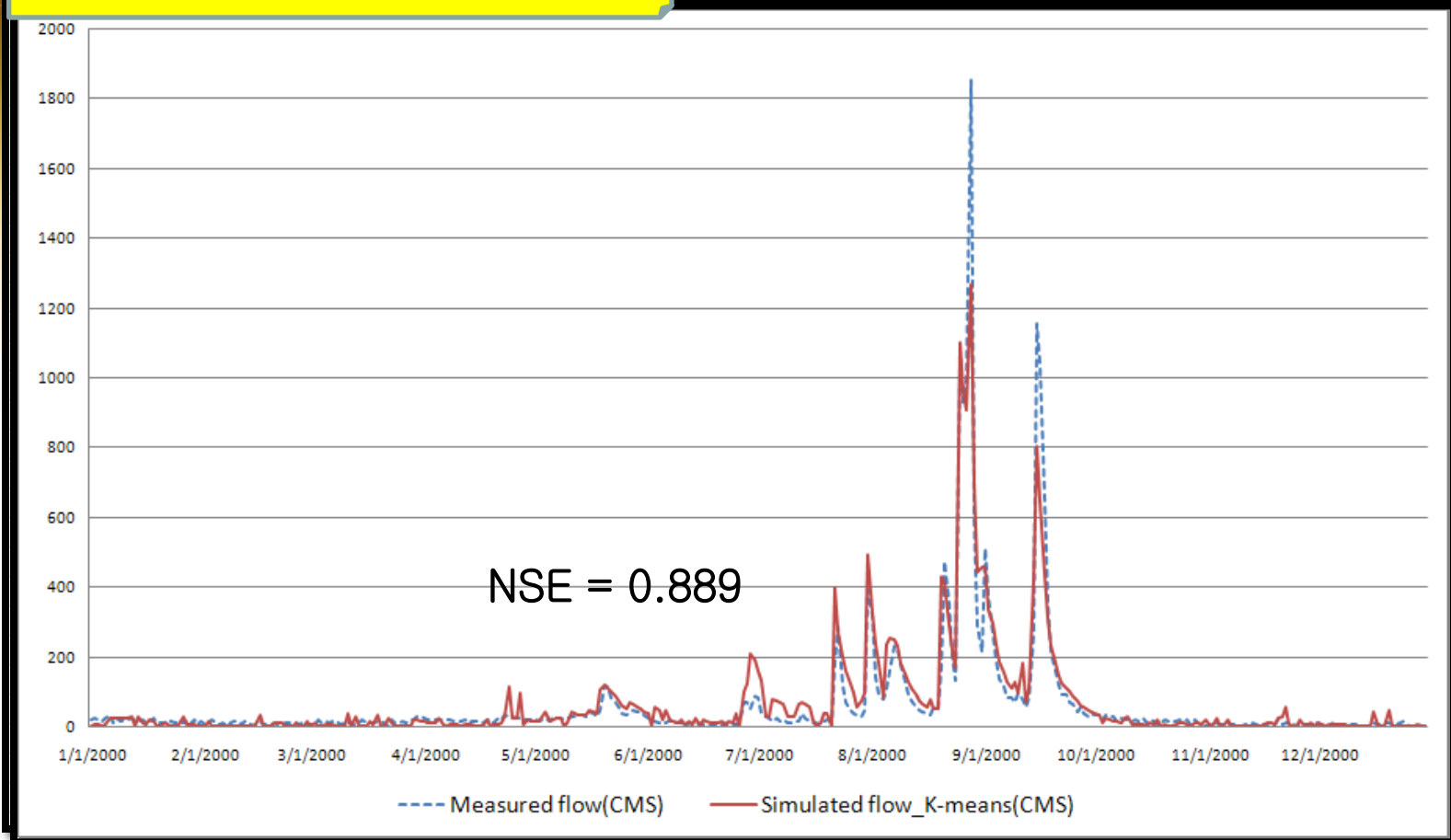
Application of K-means auto-calibration

□ The parameters used in auto-calibration

Parameter	Description	Range
CN2	SCS runoff curve number for Moisture condition II	35 ~ 98
AHPAH_BF	Baseflow alpha factor	0 ~ 1
SURLAG	Surface runoff lag time	1 ~ 24
CH_N	Manning's "n" value for the main channel	-0.01 ~ 0.3
CH_K2	Effective hydraulic conductivity in main channel alluvium	-0.01 ~ 150
GW_DELAY	Groundwater delay	0 ~ 500
GW_REVAP	Groundwater "revap" coefficient	0.02 ~ 0.2
GWQMN	Threshold depth of water in the shallow aquifer required for return flow to occur	0 ~ 5000
SOL_AWC	Available water capacity of the soil layer	1 ~ 24

□ Comparison of SWAT auto-calibration

K-means Auto-calibration



The NSE values of **Total** flow are similar.

❑ Comparison of SWAT auto-calibration

Type of Auto Calibration		NSE - Total Flow		NSE - Low Flow		NSE - High Flow	
Donigan and Love (2003)				0.699		0.460	
		Poor	Fair	Good	Very Good		
	ation	0.889	0.608	0.604			
NSE for Daily Simulation		< 0.60	0.60 ~ 0.70	0.70 ~ 0.80	> 0.80		

□ Conclusions

- The NSE values from K-means auto-calibration are **0.608** and **0.604** for low and high flow regimes.
- However the NSE value for high flow from original auto-calibration is **0.460** (**Poor** calibration result).

Donigan and Love (2003)

□ Conclusions

- SWAT simulated flow using original auto-calibration may not match measured flow data because objective function in original auto-calibration is affected by big number.
- With K-means auto-calibration, **developed in this study**, would provide better estimation for all flow regimes.

Thank you for your Attention!!

Flow duration curve

