

Limitations, problems and solutions in the setup of SWAT for a large-scale hydrological application

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Outline

1. Global modeling project
2. Continental modeling approach: Africa
3. Large-scale modeling: West Africa
 - a) Daily weather generator
 - b) Annual and monthly calibration
4. Conclusions and Outlook

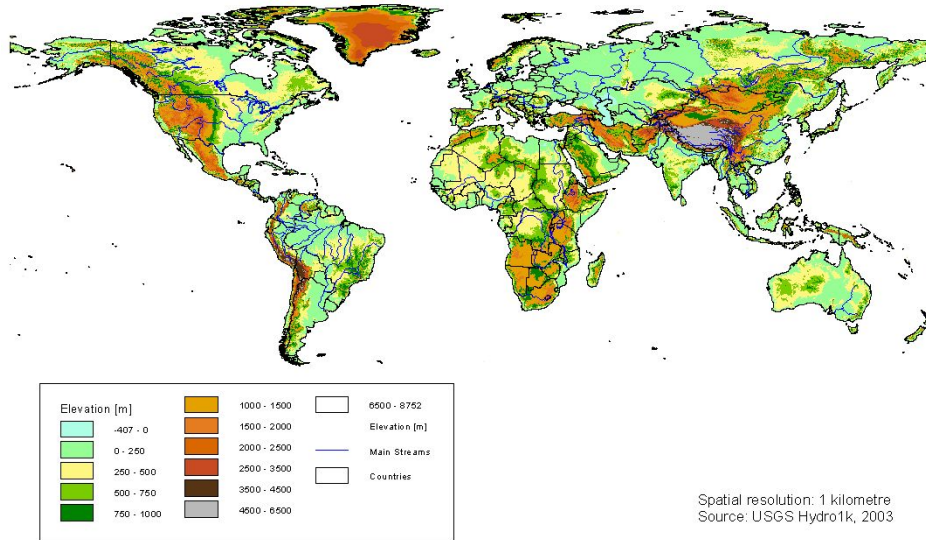
Project: Hydrological modeling of global freshwater availability using SWAT

Objectives

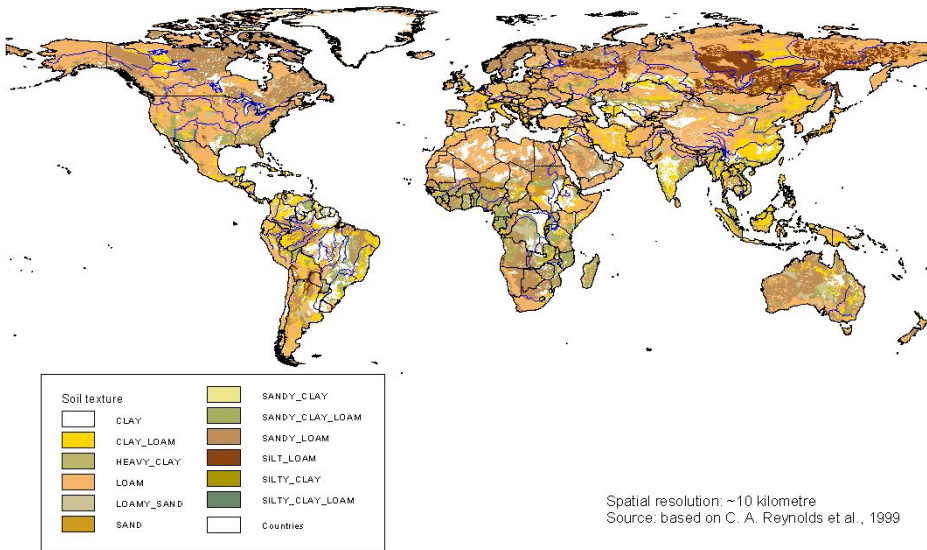
- ◆ quantification of the country-based freshwater availability at a sub-country level on a monthly time-scale
- ◆ quantification of the uncertainty associated with the freshwater figures
- ◆ identify important factors concerning the freshwater availability (sensitivity analysis)
- ◆ calculate the spatial soil water (green water) distribution and its temporal trends at a country level
- ◆ using the calibrated hydrological model for studies of county-based food and water security

Global data sets (1)

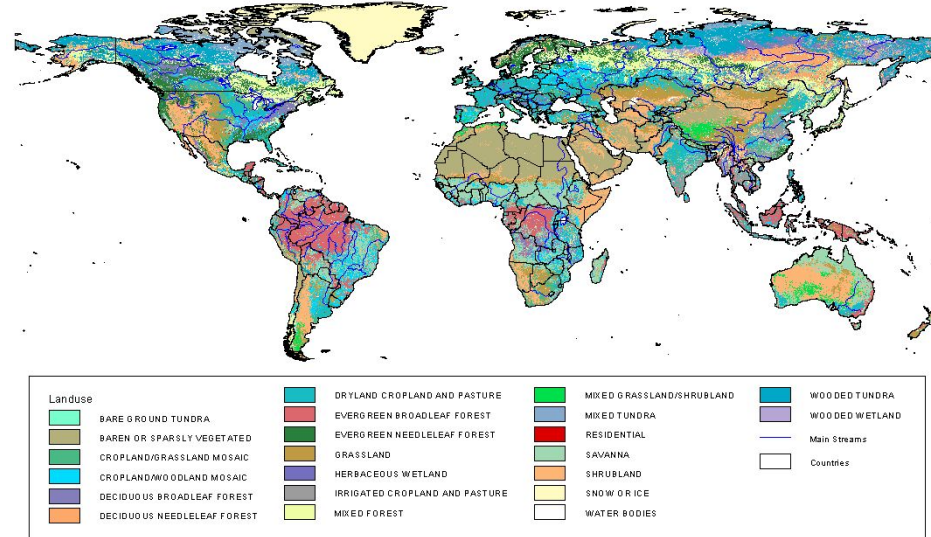
Global digital elevation map



Soil texture

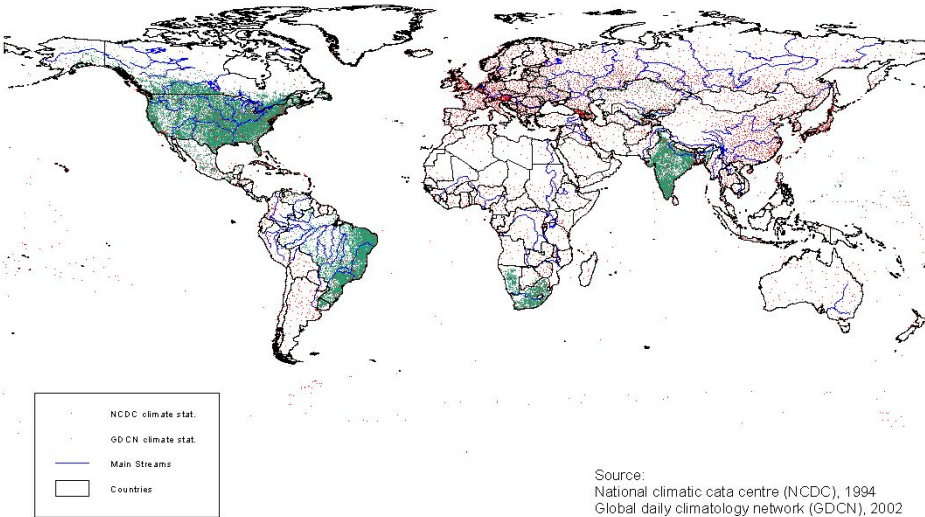


Global landuse

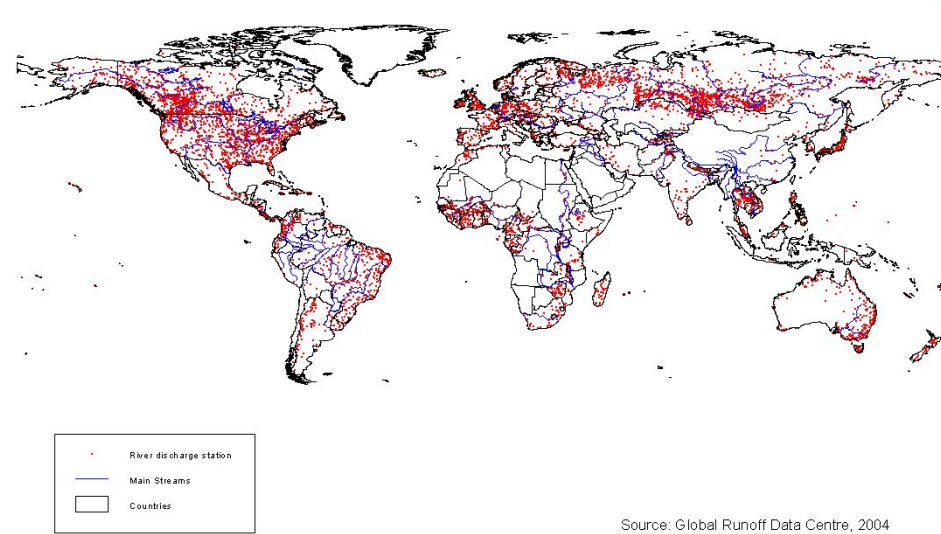


Global data sets (2)

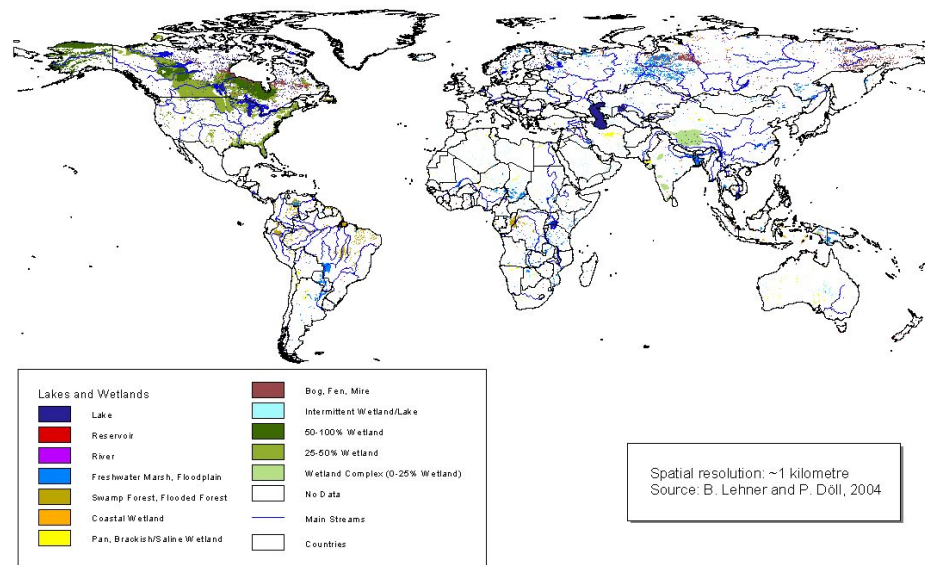
Global climate stations



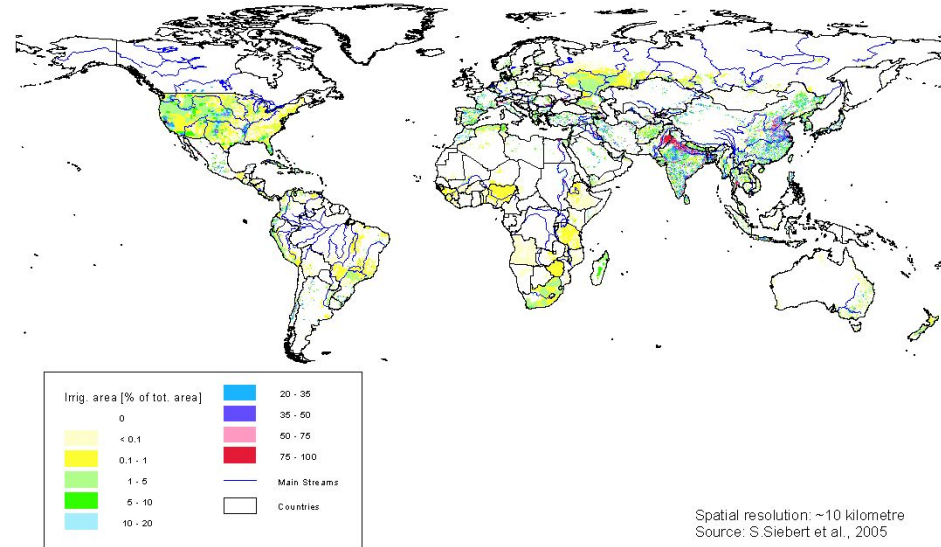
Global river discharge stations



Global lakes and wetlands



Global map of irrigated areas



The continental model attempt

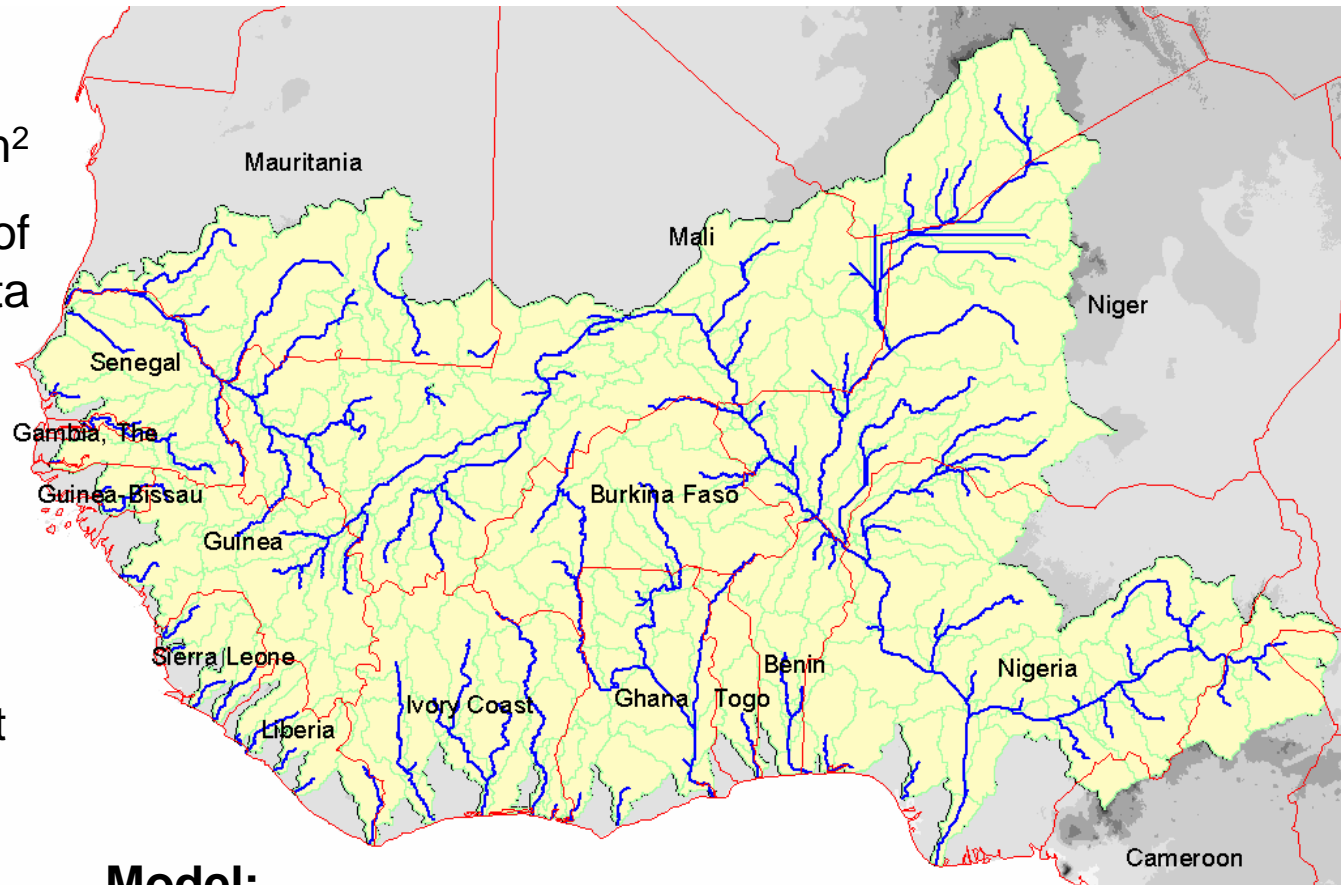
- ◆ Start with Africa, the continent where the freshwater availability problem is among the most severe in the world
- ◆ delineation of Africa into ~1500 subbasins using the ArcView interface (threshold area 10,000 sqkm)
- ◆ calculation of the geomorphic subbasin-parameters within the **ArcView interface failed**
- ◆ with the existing interface (and the selected resolution) you are limited to areas smaller than about one-seventh of Africa
- ◆ tests with a preliminary version of the newly developed **ArcGIS SWAT interface** showed that this version can handle the great number of subbasins – **BUT:** up to now there are still some other problems with the interface



Case study: West Africa

Study site:

- ◆ basin area: 4 mil. km²
- ◆ includes the basins of the rivers Niger, Volta and Senegal
- ◆ 18 countries share the basin
- ◆ climatic zones from hyper-arid to humid
- ◆ land use from desert to rainforest (mainly savannah)
- ◆ challenging due to comparably small database



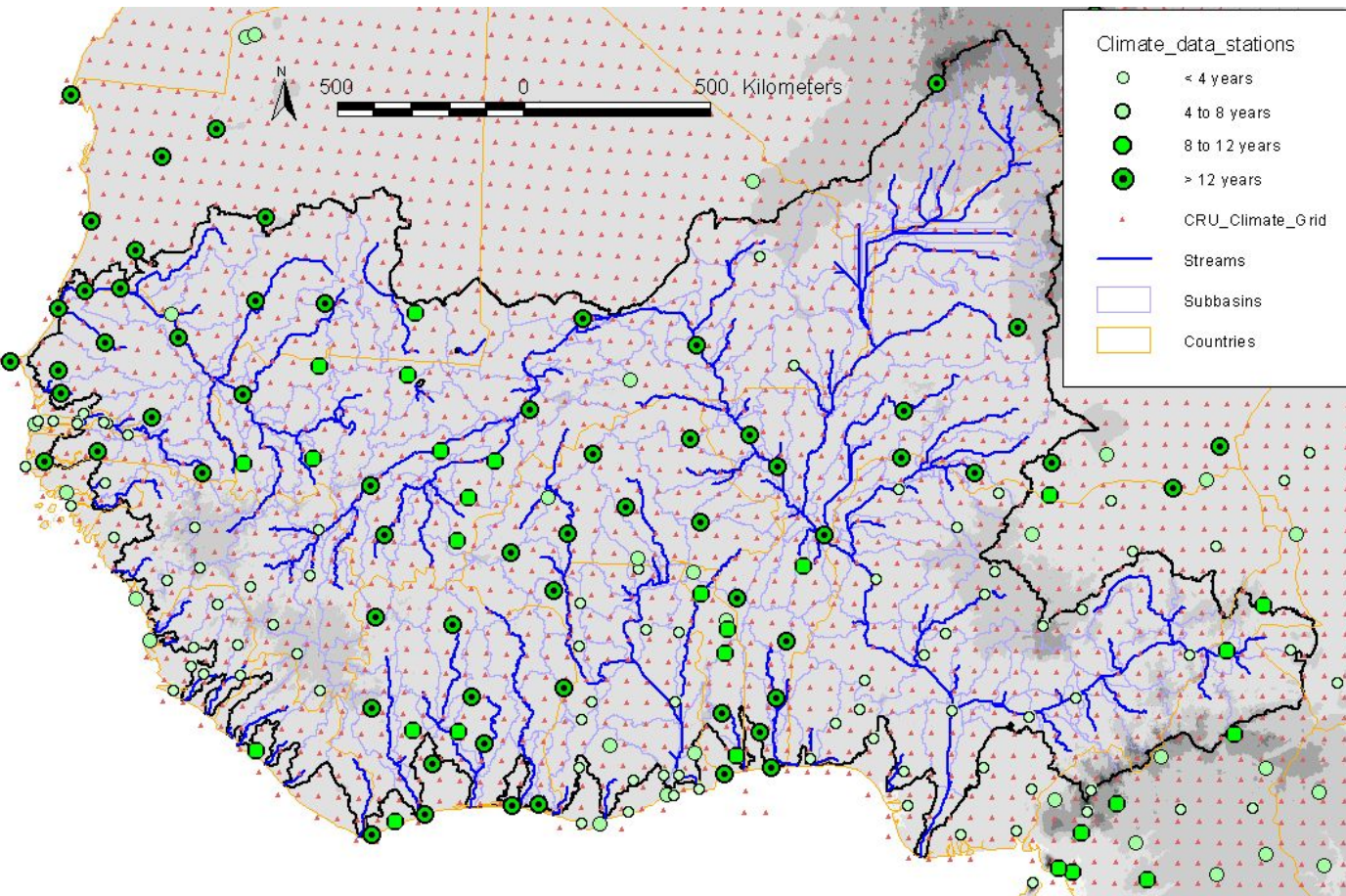
Model:

- ◆ watershed divided in 292 sub-basins
- ◆ minimum drainage area is 10,000 km²
- ◆ simulation period: 1971 (1966) to 1995

Climate data availability

SWAT requires climate **station** data on a **daily** basis, but...

Problems:



- not very dense and unevenly distributed gauging station network
- short time periods with measured data
- many missing and sometimes erroneous data
- 104 stations are included as weather input for the 292 subbasins

Daily weather generator

- ◆ **WXGEN** weather generator model included in SWAT, BUT:
 - ◆ developed for the contiguous US
 - ◆ needs daily measured values in order to determine monthly statistical values
 - ◆ useful in order to fill data gaps

- ◆ Developed our own **daily climate generator algorithm (DCGA)** based on SIMMETEO (Geng et al., 1986)
 - ◆ sufficient to provide monthly summaries instead of daily values
 - ◆ uses global 0.5 degree (~50 km) climate grids with monthly values (precipitation, wet days per month, min. and max. temperature) for the time-period from 1901 to 1995 provided by the Climatic Research Unit (CRU)

Daily climate generator algorithm (DCGA)

CRU monthly $0.5^\circ \times 0.5^\circ$ pcp, wetdays, tmax, tmin

SWAT subbasin shape-file

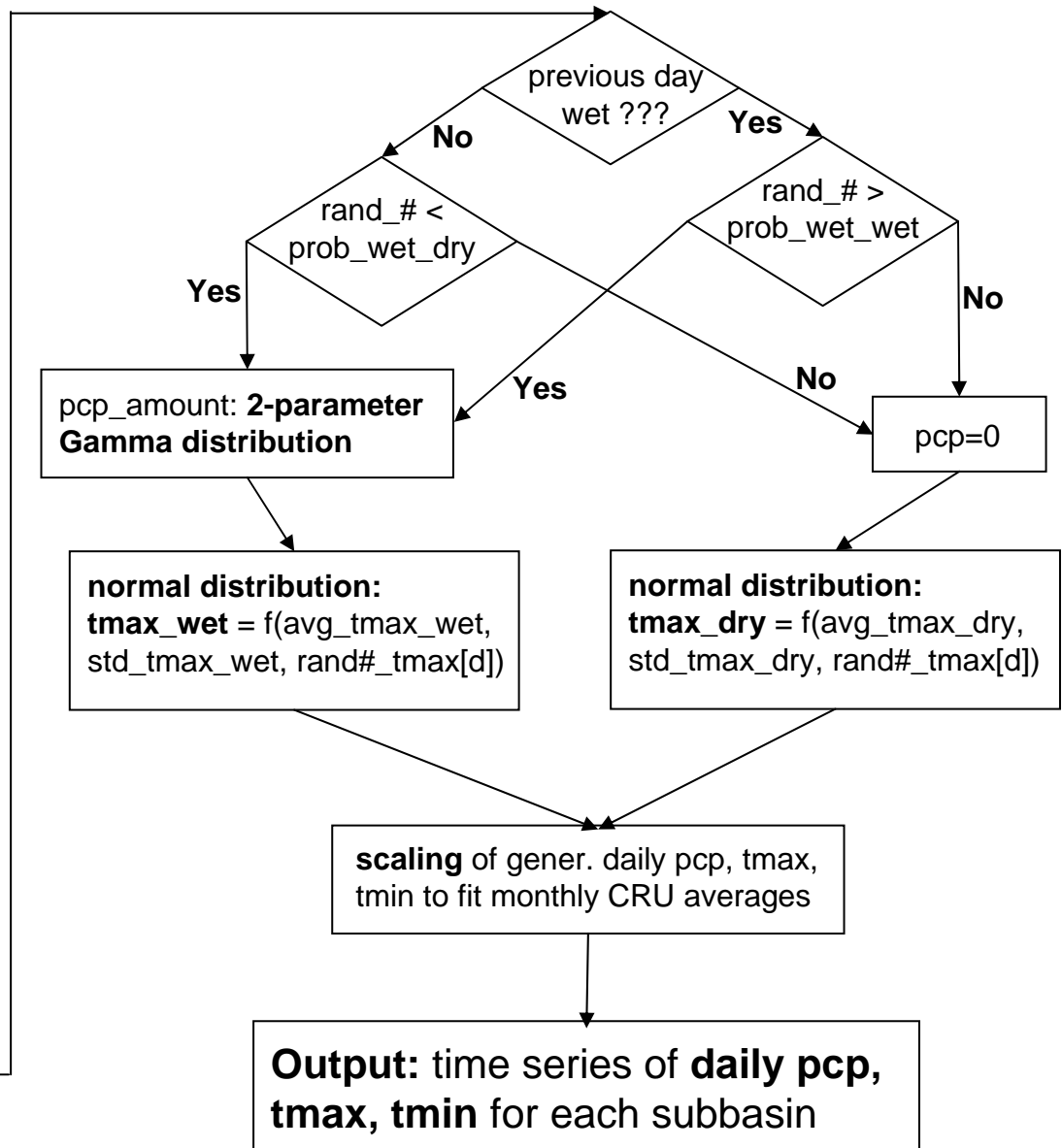
ArcGIS overlay and aggregation
→ **monthly** pcp, wet, tmax, tmin for each **subbasin**

normal distribution:

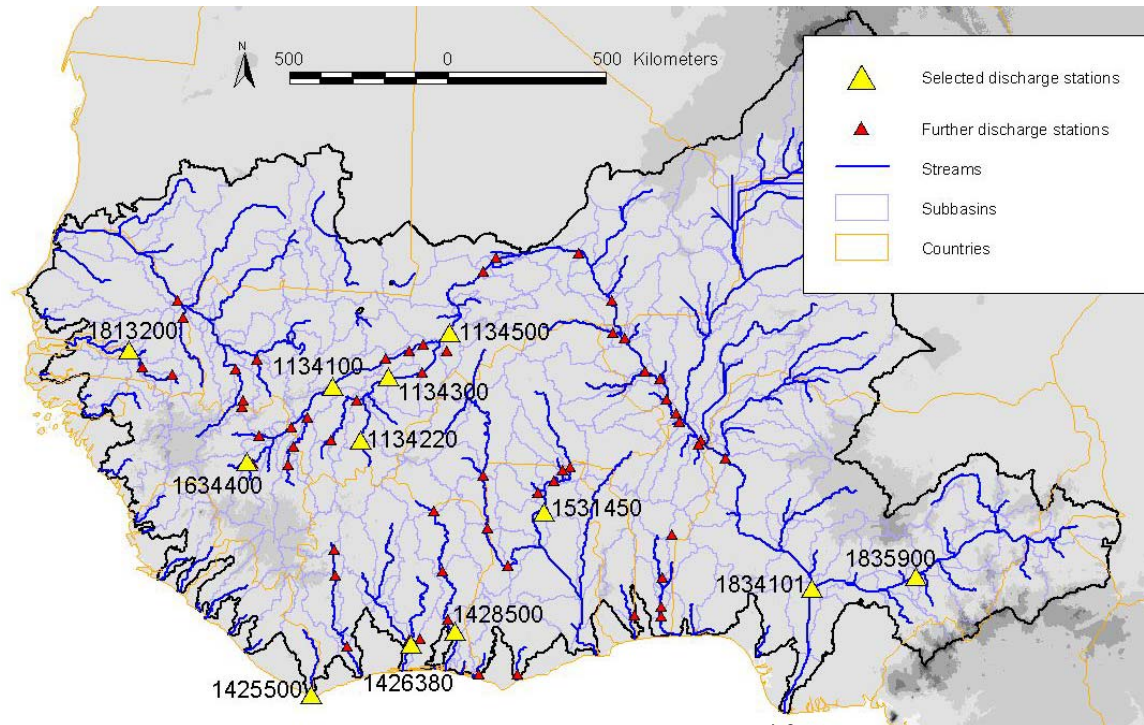
$tmin[d] = f(avg_tmin, std_tmin, rand\#_tmin[d], rand\#_tmin[d-1])$

Precipitation parameters for each month and subbasin: avg_pcp_wet_day, prob_wet_day, prob_wet_dry, prob_wet_wet

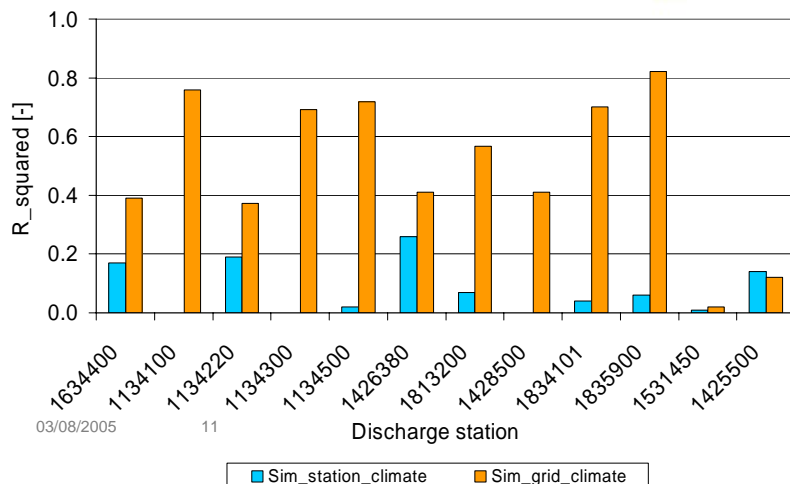
→ **two-state first order Markov chain**



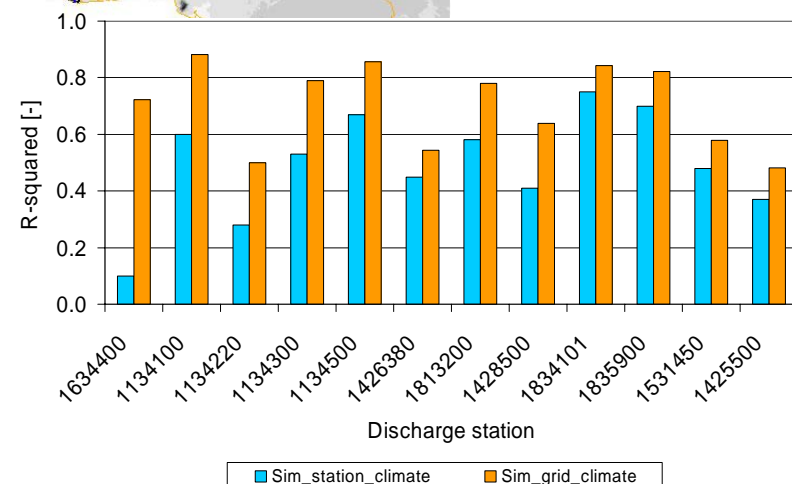
R² values between measured and simulated discharge (uncalibrated model)



Annual:



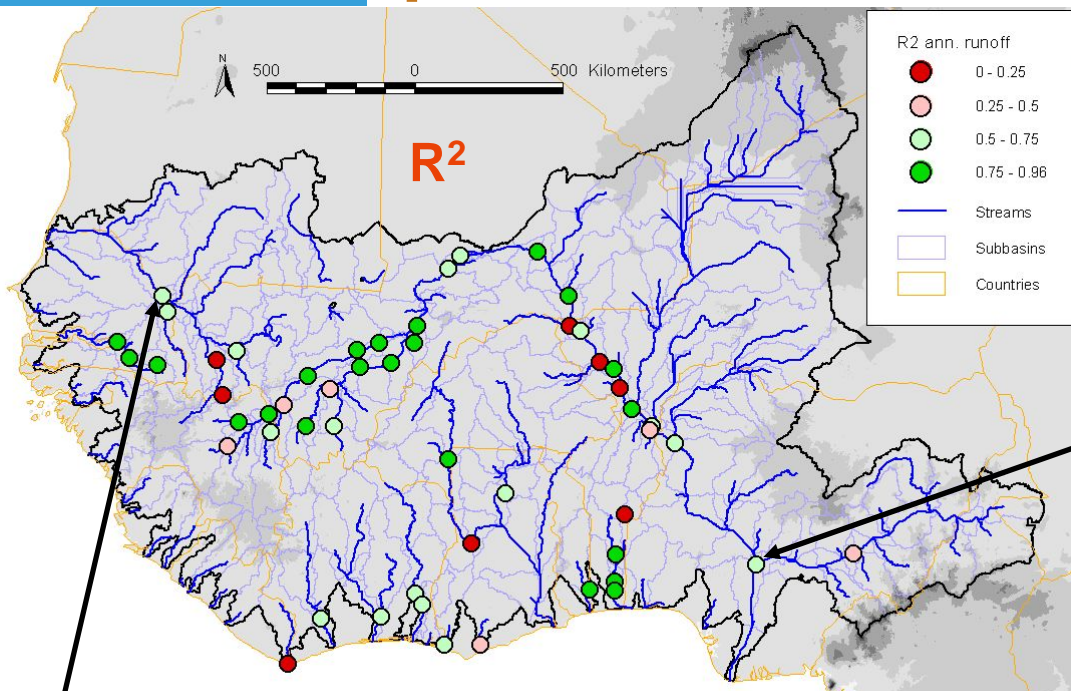
Monthly:



Calibration procedure

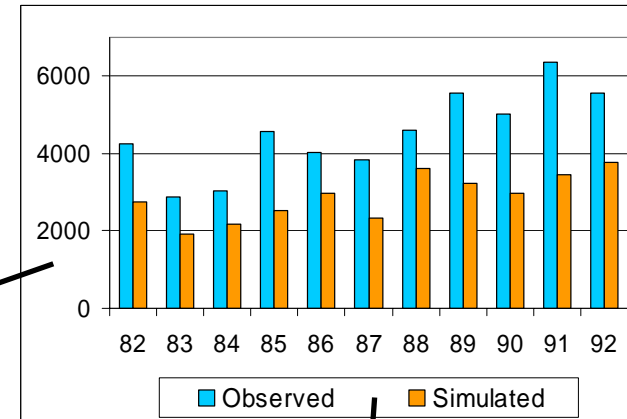
- ◆ measured river discharge at 68 stations in West-Africa is used for calibration
- ◆ one half of the runoff data at each station is used for calibration, the other half for verification
- ◆ an initial annual calibration is followed by a monthly calibration
- ◆ multi-site automated calibration using SUFI-2 (Abbaspour et al., 2004)
 - ◆ inverse modeling routine (parameter estimation)
 - ◆ global search procedure using the RMSE as objective function
 - ◆ uses Latin hypercube sampling
 - ◆ goal is to bracket most of the measured data within the 95% prediction uncertainty (95PPU) and a significant coefficient of efficiency (NS) between the observed and measured runoff
 - ◆ initial uncertainties in the model parameters are progressively reduced (→ parameter ranges are narrowed)
- ◆ SWAT–SUFI-Interface for the assignment and updating of parameters (Yang et al., 2005)

Annual runoff calibration: R^2 and percent difference

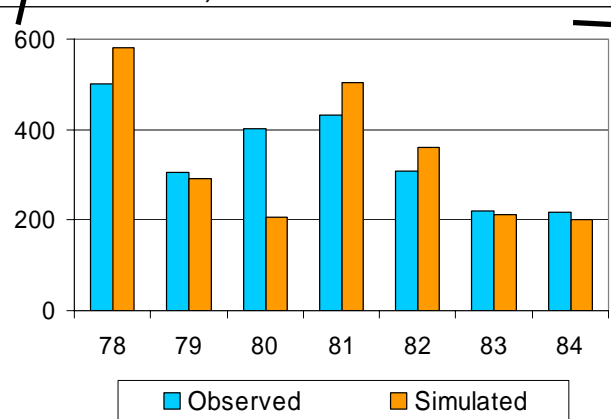


Lokoja, River Niger
A ~ 2,000,000 km²

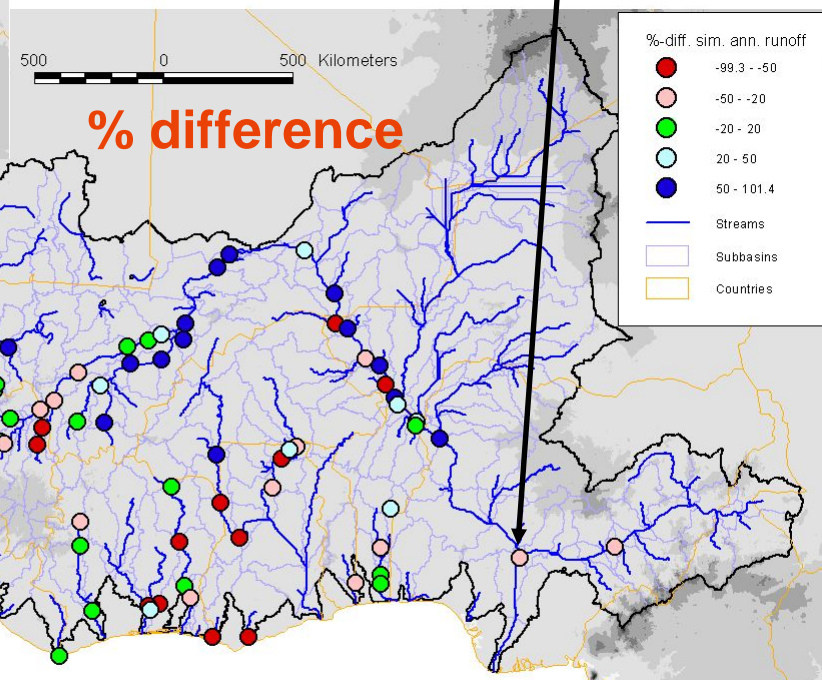
$R^2 = 0.69$
Diff. = -36%



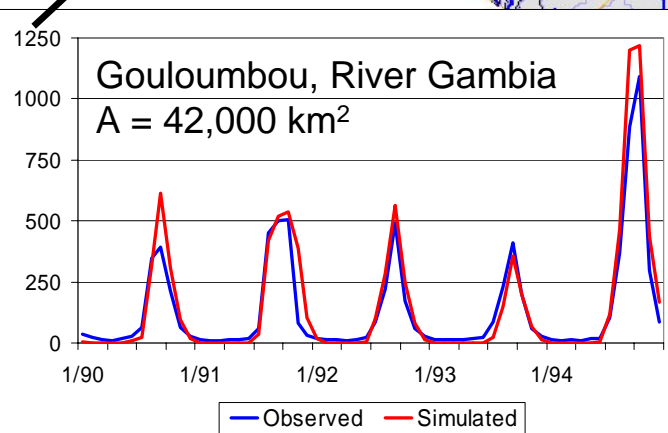
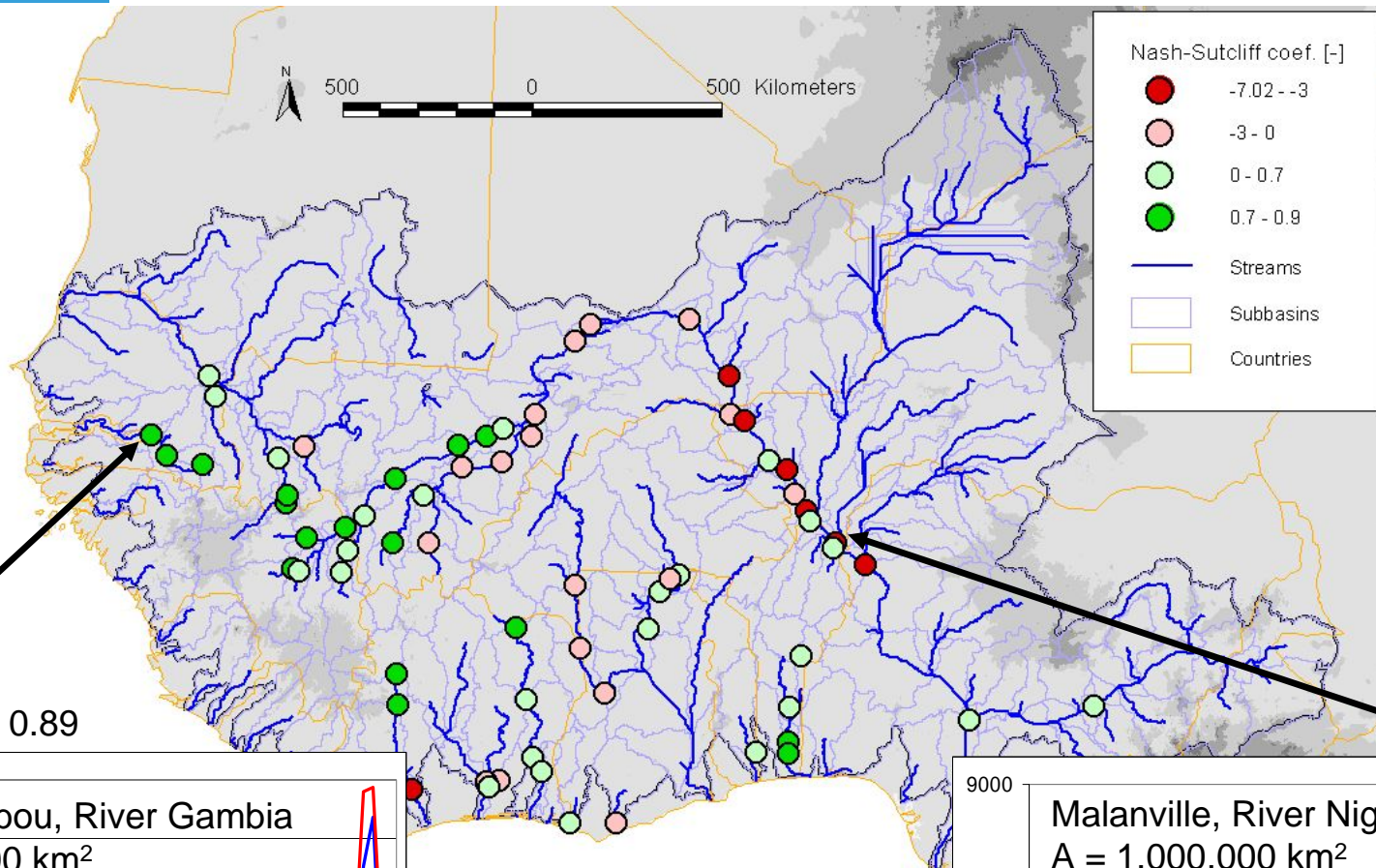
Bakel, River Senegal
A = 218,000 km²



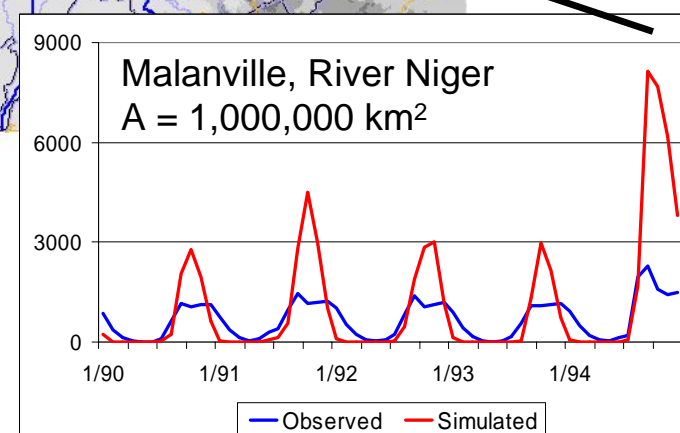
$R^2 = 0.64$
Diff. = -1%



Monthly runoff calibration: coefficient of efficiency (NS)



NS = -4.2



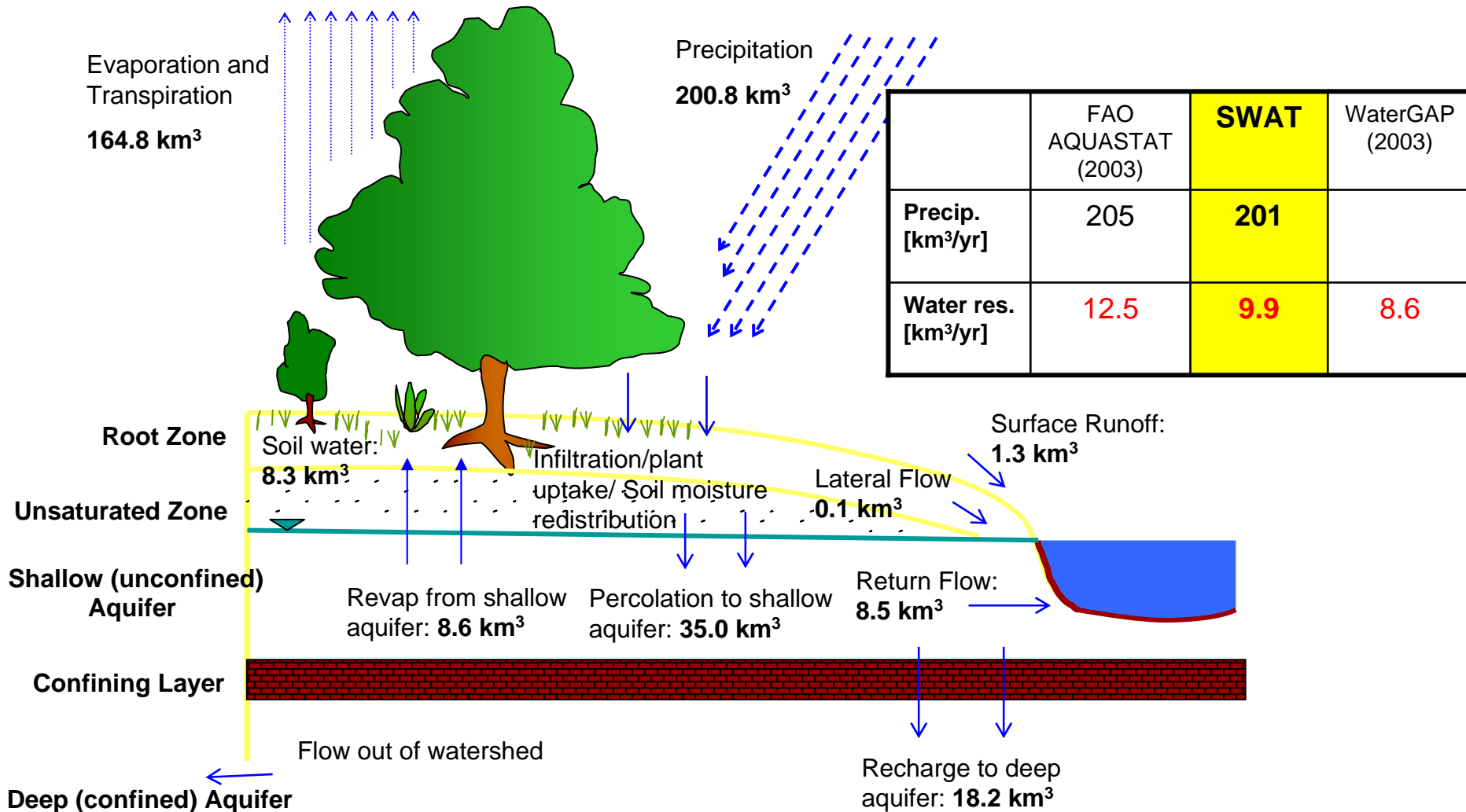
West Africa: further model improvements

- ◆ ideal would it be to include **reservoirs, wetlands, irrigation and domestic/industrial water use, BUT:**
 - ◆ need of information on reservoir surface area, reservoir volume and outflow
 - ◆ need of information on the volume of water stored and the surface area of the wetland at different water levels
 - ◆ limited water use information

- ◆ ongoing automated calibration using different procedures and different parameter sets
 - ◆ region-dependent calibration
 - ◆ inclusion of further constraints in the objectives function

Hydrologic balance – Burkina Faso

Area Burkina Faso: ~ 500,000 km²



Conclusions and Outlook

Conclusions

- ◆ SWAT can be used for (very) large scale water quantity investigations but there are quite a few stumbling blocks
 - ◆ incapability of the AVSWAT interface to calculate the geomorphic subbasin-parameters for very large areas → new ArcGIS Interface will be a solution
 - ◆ need of daily weather station data → use of monthly climate data and our Daily Climate Generator Algorithm
- ◆ Main problem: lack of information and data on...
- ◆ An improved calibration is realistic but due to the non-uniqueness of effective parameters there will never be one best fit

Outlook

- ◆ create a model of the whole continent Africa, making use of the experience gained in West-Africa
- ◆ quantification of the uncertainty in the freshwater availability estimates
- ◆ include large-scale water quality simulation

Thank you!